

# The Depository Trust Company

# **IMPORTANT**

**B#:** 0496  
**DATE:** September 15, 2000  
**TO:** All Participants  
**CATEGORY:** Compliance  
**FROM:** Reconciliation  
**ATTENTION:** Managing Partner/Officer/Cashier  
**SUBJECT:** HOLIDAY SCHEDULE: COLUMBUS DAY 2000

The Depository Trust Company will be open for business on Columbus Day, Monday, October 9, 2000. The New York Stock Exchange, the National Securities Clearing Corporation and the Securities Industry Automation Corporation will also be open, but New York banks will be closed. As such, please be advised of the following changes in Depository Trust operations and schedules that will be in effect:

## **COLUMBUS DAY SCHEDULE**

<b><u>TRADE DATE</u></b>	<b><u>T+1</u></b>	<b><u>T+2</u></b>	<b><u>T+3 SETTLEMENT</u></b>
10/04	10/05	10/06	10/10
10/05	10/06	10/10	10/11
10/06	10/10	10/11	10/12 <sup>②</sup>
10/09 <sup>①</sup>	10/10	10/11	10/12 <sup>②</sup>
10/10	10/11	10/12	10/13

<sup>①</sup> Columbus Day

<sup>②</sup> Double Settlement

A. DELIVERIES/SETTLEMENT

Depository Trust will provide no settlement services on Monday, October 9, 2000. Night PTS, CCF and MDH Deliver Orders (DOs) for the settlement of Tuesday, October 10, 2000 will be accepted on Friday evening, October 6, 2000 and only Night PTS DO's will be accepted on Monday, October 9, 2000. No day DO's will be accepted on Monday, October 9, 2000.

B. W/Ts, CODs AND DEPOSITS

1. Depository Trust will accept only Withdrawal by Transfer instructions (W/Ts), Withdrawal of Nominee Certificates (CODs), Municipal Bearer Withdrawals, and Deposits on Monday, October 9, 2000. No Government Securities CODs will be accepted on Friday night, October 6, 2000. No "FAST" CODs will be honored on Monday, October 9, 2000. No CNS Deposits will be honored on Friday night, October 6, 2000 or in the day drop of Monday, October 9, 2000.
2. Requests for night COD withdrawals of Muni Bearer Certificates and Interchangeable Certificates will be accepted on Monday night, October 9, 2000.
3. Requests for Withdrawal-by-Transfers (WTs) will be accepted on Monday night, October 9, 2000.
4. "FAST" night COD withdrawals submitted on Friday, October 6, 2000 will be available for pickup on Tuesday, October 10, 2000. **No "FAST" withdrawal requests will be honored on Monday, October 9, 2000.**
5. Night Deposits: CNS Deposits received in the night drop of Monday, October 9, 2000 will be available for credit towards CNS settlement obligations of Tuesday, October 10, 2000. **DTC will not provide record date protection on deposits made in the night drop of Friday, October 6, 2000. Also, in order to avoid dividend problems, Participants should exclude from facility deposits on Friday, October 6, 2000 securities which are record on Monday, October 9, 2000.**
6. Non-FAST CODs and night Deliver Orders (DOs) will be accepted by PTS on Monday, October 9, 2000. All inquiry functions will be available on that date. Normal PTS functions will resume on Tuesday, October 10, 2000.
7. Night-side intra-account movement instructions: Transfer from the MA account and NA account (NTNA and NTMA) submitted on Friday, October 6, 2000 will be processed on Monday, October 9, 2000. PTS will accept DaySide Intra-Account Movement Instructions (DYNA and DYMA) on Monday, October 9, 2000. NTNA and NTMA input of Monday, October 9, 2000 will be processed on Tuesday, October 10, 2000.

C. COLLATERAL LOANS

There will be no collateral loan services on Monday, October 9, 2000.

D. TRADESUITE<sup>SM</sup>

1. TradeSuite Trades and Affirmations will be accepted and processed on Monday, October 9, 2000 via all media until standard cutoffs. PTS and Interactive CCF and MDH CONFIRMS, TRADE ERRORS, and AFFIRMED CONFIRMS will be distributed on Monday, October 9, 2000 and Tuesday, October 10, 2000. End of day CCF output for confirmations and Trade Edits will be distributed on Tuesday, October 10, 2000 for trades submitted on Monday, October 9, 2000. End of day CCF output for ETR, ITR and CNS ETR will be held and combined with Tuesday's input, for distribution on Wednesday, October 11, 2000. The cumulative eligible trade report and unaffirmed reports will not be regenerated on Monday evening, October 9, 2000. The Friday, October 6, 2000 output will continue to be available on Tuesday, October 10, 2000.
2. Daily TradeSuite (ID) Authorization/Exception Notices (PTS Function, ANE, CCF and MDH) for settlement on Tuesday, October 10, 2000 must be submitted Friday night, October 6, 2000. The T+3 reports for settlement of Tuesday, October 10, 2000 will be available for pickup on the morning of Tuesday, October 10, 2000.
3. TradeSuite (ID) System: All TradeSuite (ID) Functions will be available over CCF, CCFII, PTS and the Dial-In (MCI) Network on Monday, October 9, 2000.
4. PTS System: PTS will be available for distribution of TradeSuite (ID) system output on Monday, October 9, 2000. If you plan to be closed on Monday, October 9, 2000, please use the RPNA function on Tuesday, October 10, 2000 to retrieve Monday's output.

E. FUND/SERV AND NETWORKING INTERFACES

Both systems will be open on Monday, October 9, 2000. However, no settlement of Fund/SERV activity will occur. Networking activity will be accepted on Monday, October 9, 2000, however, no Networking Dividend Cash Settlement will occur.

**The Columbus Day Holiday Schedule Important Notice is available on DTC's website "[www.dtc.org](http://www.dtc.org)".**

Edel Policarpio  
Account Administration