

# The Depository Trust Company

# **IMPORTANT**

**B#:** 1107  
**DATE:** January 09, 2001  
**TO:** All Participants  
**CATEGORY:** Compliance  
**FROM:** Reconciliation  
**ATTENTION:** Managing Partner/Officer/Cashier  
**SUBJECT:** HOLIDAY SCHEDULE: PRESIDENTS' DAY 2001

The Depository Trust Company, New York Stock Exchange, National Securities Clearing Corporation, and Securities Industry Automation Corporation will not be open for business on Monday, February 19, 2001 in observance of Presidents' Day. The following changes in Depository Trust operations and schedules will be in effect.

**WITH THE EXCEPTION OF THE TRADESUITE<sup>SM</sup> SYSTEM,  
NO DEPOSITORY TRUST SERVICES  
WILL BE PROVIDED ON MONDAY, FEBRUARY 19, 2001**

## **PRESIDENTS' DAY**

<b><u>TRADE DATE</u></b>	<b><u>T+1</u></b>	<b><u>T+2</u></b>	<b><u>T+3 SETTLEMENT</u></b>
2/14	2/15	2/16	2/20
2/15	2/16	2/20	2/21
2/16	2/20	2/21	2/22
2/19	DTC CLOSED IN OBSERVANCE OF PRESIDENTS' DAY		
2/20	2/21	2/22	2/23

**PLEASE NOTE THAT A REPRESENTATIVE AUTHORIZED TO TRANSACT BUSINESS WITH DTC MUST BE PRESENT AT THE LOCATION OF EACH PARTICIPANT ON EACH BUSINESS DAY, INCLUDING THE DAY PRIOR TO THE SCHEDULED HOLIDAYS, BETWEEN THE HOURS OF 9:15 a.m. AND 5:30 p.m., NEW YORK CITY TIME AND UNTIL THE PARTICIPANT'S ACCOUNT WITH DTC IS SETTLED AND IN BALANCE.**

- A. Deposits: CNS Deposits received in the night drop on Friday, February 16, 2001 will be available for credit toward CNS settlement obligations of Tuesday, February 20, 2001. **DTC will not provide record date protection on deposits made in the night drop of Friday, February 16, 2001. Also, in order to avoid dividend problems, Participants should exclude from facility deposits on Friday, February 16, 2001 securities which are record on Monday, February 19, 2001.**
- B. Depository Trust PTS night deliveries (NDOs) and night MDH and CCF Deliver Order Transmissions should be submitted on Friday evening, February 16, 2001 for processing and settlement on Tuesday, February 20, 2001.
- C. Night intra-account movement type transactions, (i.e., transfers from the MA account and transfers from the NA account) should be submitted on Friday evening, February 16, 2001 for processing and settlement on Tuesday, February 20, 2001.
- D. Request for night COD withdrawals of Muni Bearer and Interchangeable Certificates submitted on Friday, February 16, 2001 will be available for pickup on Tuesday, February 20, 2001.
- E. "FAST" night COD withdrawals submitted on Friday, February 16, 2001 will be available for pickup on Tuesday, February 20, 2001.
- F. PTS System: Not available on Monday, February 19, 2001 with the exception of TradeSuite (ID) Services. Normal PTS processing returns on Tuesday, February 20, 2001.
- G. Requests for Withdrawal-by-Transfers (WTs): **In order to avoid dividend problems, you are reminded to exclude those securities which are record on Monday, February 19, 2001 from your submissions of Withdrawal by Transfer (WTs) made by PTS on Thursday, February 15, 2001 or by CCF prior to the 8:30 A.M. cut-off on Friday, February 16, 2001.**
- H. Fund/SERV and Networking Interfaces will not be available on Monday, February 19, 2001. Normal processing returns on Tuesday, February 20, 2001.
- I. TradeSuite
  - 1. TradeSuite (ID/DTC Settlement) Trades of Wednesday, February 14, 2001 which are due to settle on Tuesday, February 20, 2001 must be affirmed by noon, Friday, February 16, 2001 to be eligible for TradeSuite (ID) settlement processing.

2. Daily TradeSuite (ID) Authorization/Exception (PTS Function, ANE, CCF and MDH) for settlement on Tuesday, February 20, 2001 must be affirmed by Friday, February 16, 2001. The T+3 settlement report for Tuesday, February 20, 2001 will be available on Tuesday, February 20, 2001.
3. TradeSuite (ID) Trades and Affirmations will be accepted and processed and interactive output will be available on Friday, February 16, 2001 via all media until standard cutoffs.
4. The cumulative eligible trade report and unaffirmed reports will not be generated on Monday, February 19, 2001.
5. Reprints – PTS and dial-in recipients may use the RPNA function to reprint output from Monday, February 19, 2001.

The Presidents' Day Holiday Schedule Important Notice is available on DTC's website "[www.dtc.org](http://www.dtc.org)".

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Account Administration