

# The Depository Trust Company

# **IMPORTANT**

**B#:** 2422  
**DATE:** August 07, 2001  
**TO:** All Participants  
**CATEGORY:** Compliance  
**FROM:** Reconciliation  
**ATTENTION:** Managing Partner/Officer/Cashier  
**SUBJECT:** HOLIDAY SCHEDULE: LABOR DAY 2001

The Depository Trust Company, New York Stock Exchange, National Securities Clearing Corporation, and Securities Industry Automation Corporation will not be open for business on Monday, September 3, 2001 in observance of Labor Day. The following changes in Depository Trust operations and schedules will be in effect.

**NO DEPOSITORY TRUST SERVICES  
WILL BE PROVIDED ON MONDAY, SEPTEMBER 3, 2001**

## LABOR DAY

<u>TRADE DATE</u>	<u>T+1</u>	<u>T+2</u>	<u>T+3 SETTLEMENT</u>
8/29	8/30	8/31	9/04
8/30	8/31	9/04	9/05
8/31	9/04	9/05	9/06
9/03	DTC CLOSED IN OBSERVANCE OF LABOR DAY		
9/04	9/05	9/06	9/07

**PLEASE NOTE THAT A REPRESENTATIVE AUTHORIZED TO TRANSACT BUSINESS WITH DTC MUST BE PRESENT AT THE LOCATION OF EACH PARTICIPANT ON EACH BUSINESS DAY, INCLUDING THE DAY PRIOR TO THE SCHEDULED HOLIDAYS, BETWEEN THE HOURS OF 9:15 A.M. AND 5:30 P.M., NEW YORK CITY TIME AND UNTIL THE PARTICIPANT'S ACCOUNT WITH DTC IS SETTLED AND IN BALANCE.**

- A. Deposits: CNS Deposits received in the night drop on Friday, August 31, 2001 will be available for credit toward CNS settlement obligations of Tuesday, September 4, 2001. **DTC will not provide record date protection on deposits made in the night drop of Friday, August 31, 2001. Also, in order to avoid dividend problems, Participants should exclude from facility deposits on Friday, August 31, 2001 securities which are record on Monday, September 3, 2001.**
- B. Depository Trust PTS night deliveries (NDOs) and night MDH and CCF Deliver Order Transmissions should be submitted on Friday evening, August 31, 2001 for processing and settlement on Tuesday, September 4, 2001.
- C. Night intra-account movement type transactions, (i.e., transfers from the MA account and transfers from the NA account) should be submitted on Friday evening, August 31, 2001 for processing and settlement on Tuesday, September 4, 2001.
- D. Requests for night COD withdrawals of Muni Bearer and Interchangeable Certificates submitted on Friday, August 31, 2001 will be available for pickup on Tuesday, September 4, 2001.
- E. "FAST" night COD withdrawals submitted on Friday, August 31, 2001 will be available for pickup on Tuesday, September 4, 2001.
- F. Requests for Withdrawal-by-Transfers (WTs): **In order to avoid dividend problems, you are reminded to exclude those securities which are record on Monday, September 3, 2001 from your submissions of Withdrawal by Transfer (WTs) made by PTS on Thursday, August 30, 2001 or by CCF prior to the 8:30 A.M. cut-off on Friday, August 31, 2001.**
- G. Fund/SERV and Networking Interfaces will not be available on Monday, September 3, 2001. Normal processing returns on Tuesday, September 4, 2001.
- H. PTS System: Not available on Monday, September 3, 2001. Normal PTS processing returns on Tuesday, September 4, 2001.

**The Labor Day Holiday Schedule Important Notice is available on DTC's website "[www.dtc.org](http://www.dtc.org)".**

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Membership Administration