

# The Depository Trust Company

# **IMPORTANT**

**B#:** 2546  
**DATE:** November 02, 2001  
**TO:** All Participants  
**CATEGORY:** Compliance  
**FROM:** Reconciliation/Membership Administration/Edel Policarpio  
**ATTENTION:** Managing Partner/Officer/Cashier  
**SUBJECT:** HOLIDAY SCHEDULE: THANKSGIVING DAY 2001

The Depository Trust Company, New York Stock Exchange, National Securities Clearing Corporation, and Securities Industry Automation Corporation will not be open for business on Thursday, November 22, 2001 in observance of Thanksgiving Day. The following changes in Depository Trust operations and schedules will be in effect:

**NO DEPOSITORY TRUST SERVICES  
WILL BE PROVIDED ON THURSDAY, NOVEMBER 22, 2001**

### **THANKSGIVING DAY SCHEDULE**

<b><u>TRADE DATE</u></b>	<b><u>T+1</u></b>	<b><u>T+2</u></b>	<b><u>T+3 SETTLEMENT</u></b>
11/19	11/20	11/21	11/23
11/20	11/21	11/23	11/26
11/21	11/23	11/26	11/27
11/22 DTC CLOSED IN OBSERVANCE OF THANKSGIVING DAY			
11/23	11/26	11/27	11/28

**PLEASE NOTE THAT A REPRESENTATIVE AUTHORIZED TO TRANSACT BUSINESS WITH DTC MUST BE PRESENT AT THE LOCATION OF EACH PARTICIPANT ON EACH BUSINESS DAY BETWEEN THE HOURS OF 9:15 A.M. AND 5:30 P.M., NEW YORK CITY TIME AND UNTIL THE PARTICIPANT'S ACCOUNT WITH DTC IS SETTLED AND IN BALANCE.**

- A. Deposits: CNS Deposits received in the night drop on Wednesday, November 21, 2001 will be available for credit toward CNS settlement obligations of Friday, November 23, 2001. **DTC will not provide record date protection on deposits made in the night drop of Wednesday, November 21, 2001. Also, in order to avoid dividend problems, Participants should exclude from facility deposits on Wednesday, November 21, 2001 securities which are record on Thursday, November 22, 2001.**
- B. Depository Trust PTS night deliveries (NDOs) and night MDH and CCF Deliver Order Transmissions should be submitted on Wednesday evening, November 21, 2001 for processing and settlement on Friday, November 23, 2001.
- C. Night intra-account movement type transactions, (i.e., transfers from the MA account and transfers from the NA account) should be submitted on Wednesday evening, November 21, 2001, for processing and settlement on Friday, November 23, 2001.
- D. Requests for night COD withdrawals of Muni Bearer and Interchangeable Certificates submitted on Wednesday, November 21, 2001 will be available for pickup on Friday, November 23, 2001.
- E. "FAST" night COD withdrawals submitted on Wednesday, November 21, 2001 will be available for pickup on Friday, November 23, 2001.
- F. Requests for Withdrawal-by-Transfers (WTs): **In order to avoid dividend problems, you are reminded to exclude those securities which are record on Thursday, November 22, 2001 from your submissions of Withdrawal by Transfer (WTs) made by PTS on Tuesday, November 20, 2001 or by CCF prior to the 8:30 A.M. cut-off on Wednesday, November 21, 2001.**
- G. Fund/SERV and Networking Interfaces will not be available on Thursday, November 22, 2001. Normal processing returns on Friday, November 23, 2001.
- H. PTS System: Not available on Thursday, November 22, 2001 with the exception of TradeSuite (ID) Services. Normal PTS processing returns on Friday, November 23, 2001.

The Thanksgiving Holiday Schedule Important Notice is available on DTC's website "[www.dtc.org](http://www.dtc.org)".