

# The Depository Trust Company

# **IMPORTANT**

**B#:** 2749

**DATE:** January 02, 2002

**TO:** All Participants

**CATEGORY:** Compliance

**FROM:** Reconciliation/Membership Administration/Edel Policarpio

**ATTENTION:** Managing Partner/Officer/Cashier

**SUBJECT:** HOLIDAY SCHEDULE: MARTIN LUTHER KING, JR. DAY 2002

The Depository Trust Company, New York Stock Exchange, National Securities Clearing Corporation, and Securities Industry Automation Corporation will not be open for business on Monday, January 21, 2002 in observance of Martin Luther King, Jr. Day. The following changes in Depository Trust operations and schedules will be in effect:

**NO DEPOSITORY TRUST SERVICES  
WILL BE PROVIDED ON MONDAY, JANUARY 21, 2002**

### **MARTIN LUTHER KING, JR. DAY SCHEDULE**

<b><u>TRADE DATE</u></b>	<b><u>T+1</u></b>	<b><u>T+2</u></b>	<b><u>T+3 SETTLEMENT</u></b>
01/16	01/17	01/18	01/22
01/17	01/18	01/22	01/23
01/18	01/22	01/23	01/24
01/21 DTC CLOSED IN OBSERVANCE OF MARTIN LUTHER KING, JR. DAY			
01/22	01/23	01/24	01/25

**PLEASE NOTE THAT A REPRESENTATIVE AUTHORIZED TO TRANSACT BUSINESS WITH DTC MUST BE PRESENT AT THE LOCATION OF EACH PARTICIPANT ON EACH BUSINESS DAY BETWEEN THE HOURS OF 9:15 A.M. AND 5:30 P.M., NEW YORK CITY TIME AND UNTIL THE PARTICIPANTS ACCOUNT WITH DTC IS SETTLED AND IN BALANCE.**

- A. Deposits: CNS Deposits received in the night drop of Friday, January 18, 2002 will be available for credit toward CNS settlement obligations of Tuesday, January 22, 2002. **DTC will not provide record date protection on deposits made in the night drop of Friday, January 18, 2002. Also, in order to avoid dividend problems, Participants should exclude from facility deposits on Friday, January 18, 2001 securities which are record on Monday, January 21, 2002.**
- B. Depository Trust PTS night deliveries (NDOs) and night MDH and CCF Deliver Order Transmissions should be submitted on Friday evening, January 18, 2002 for processing and settlement on Tuesday, January 22, 2002.
- C. Night intra-account movement type transactions, (i.e., transfer from the MA account and transfers from the NA account) should be submitted on Friday evening, January 18, 2002, for processing and settlement on Tuesday, January 22, 2002.
- D. Requests for night COD withdrawals of Muni Bearer and Interchangeable Certificates submitted on Friday, January 18, 2002 will be available for pickup on Tuesday, January 22, 2002.
- E. “FAST” night COD withdrawals submitted on Friday, January 18, 2002 will be available for pickup on Tuesday, January 22, 2002.
- F. PTS System: Not available on Monday, January 21, 2002 with the exception of TradeSuite (ID) Services. Normal PTS processing returns on Tuesday, January 22, 2002.
- G. Requests for Withdrawals-by-Transfers (WTs): **In order to avoid dividend problems, you are reminded to exclude those securities which are record on Monday, January 21, 2002 from your submissions of Withdrawal by Transfer (WTs) made by PTS on Thursday, January 17, 2002 or by CCF prior to the 8:30 A.M. cut-off on Friday, January 18, 2002.**
- H. Fund/SERV and Networking Interfaces will not be available on Monday, January 21, 2002. Normal processing returns on Tuesday, January 22, 2002.

The Martin Luther King, Jr. Holiday Schedule Important Notice is available on DTC's website "[www.dtc.org](http://www.dtc.org)".