

The Depository Trust Company

IMPORTANT

B#: 6817

DATE: September 30, 2004

TO: All Participants

CATEGORY: Executive Notices

FROM: Product Management

ATTENTION: Managing Partner/Officer, Operations Manager

SUBJECT: Billing Modernization

DTC is pleased to announce the deployment schedule for our Billing Modernization initiative. We will be conducting a coordinated rollout of revised NSCC and DTC invoices: if you are a customer of both companies you will receive notices from both companies about this deployment.

What's New?

- [Billing Format](#) – The reformatted invoices that we will be producing, are designed to be easier to read, to print and copy, and to understand.
- [Electronic Delivery](#) – DTC invoices will be delivered by e-mail. Distribution of DTC invoices in paper form will be discontinued.
- [Spreadsheet Option](#) – An available spreadsheet version of the invoice will aid firms wishing to perform calculations on data from the DTC invoice.
- [Historical Archive Option](#) – Customers will be able to retrieve invoices from an online historical archive.

Billing Format

The redesigned DTC invoice has been formatted to make it easier to read and understand.

The design of DTC's new invoice is based on the recently released "User's Guide to the DTC Fee Schedule" available at <http://www.dtc.org/dtcpublic/pdf/rulesandfees/dtcfceeguide.pdf>. (For more information on the User's Guide see DTC Important notice B#6050, http://www.dtc.org/impNtc/exe/exe_6050.pdf) For each item that appears on the invoice, the item description is based on the wording used in the User's Guide. The order in which the items appear in the invoice is based on the order of items in the User's Guide.

Also, you will note, in the User's Guide, that each item is identified by a "fee number." These fee numbers will also appear in the invoice, allowing a positive verification of which fee relates to which items on the invoice.

A mocked-up sample of a DTC invoice is available at <http://www.dtc.org/impNtc/pdf/B6817.pdf> - you can download this sample today and review it to get a preview of the appearance, organization, and usability of the new invoice.

This design has been based on comments and suggestions received from many customers, in industry meetings, DTC customer satisfaction surveys, focus groups and, most significantly, the DTCC Billing Advisory Panel. DTCC would like to acknowledge the contribution made by each customer who has been a part of this effort.

Electronic Delivery

The invoice will be sent by e-mail to designated individuals at each customer firm. (See "Registration" below, for information on how to designate individuals from your firm to receive invoices by e-mail.) Included, as an e-mail attachment, will be a copy of your firm's DTC invoice.

The file will be an Adobe Acrobat (.PDF) file. You will be able to view the invoice, and print it locally, using the Adobe Acrobat Reader program. We find that most of our customers already have this program. If you do not, you can download a complimentary copy by using your browser to access <http://www.adobe.com/products/acrobat/readstep2.html>

Spreadsheet Option

As an added service to our customers, we will be providing an option to receive a copy of each invoice in a spreadsheet format. The spreadsheet version of the invoice will aid firms wishing to perform calculations on data from the DTC invoice, whether for allocation of DTC fees across the customer firm's business units, or for reconciliation of activity reported on DTC's invoice with activity recorded in the firm's own books and records.

Customers will be able to register for the use of DTCC's SMART/Search document retrieval service. Upon approval of the registration, customers will be able to log in to SMART/Search and download the spreadsheet file. Normal DTCC usage fees will apply for the issuance of a SMART/Search logon, and for access to SMART/Search. If you would like to apply for access to the spreadsheet option please contact your relationship manager.

The spreadsheet will be provided in the form of a comma separated value file (.CSV) - this type of file offers no formatting or built-in formulas but it is also less likely than other spreadsheet formats to be infected by hostile code. A sample DTC invoice in CSV spreadsheet format can be found at <http://www.dtc.org/impNtc/csv/B6817.csv>

Historical Archive Option

You may never need to access DTC's historical archive of invoices - you will receive every month's invoice electronically and it should be easy for you to keep your own archive. However, DTC will maintain a twenty-four month archive of invoices in SMART/Search. Access will be subject to the registration requirements and usage fees of SMART/Search. Starting in December, if you would like to register for access to the historical archive via SMART/Search, please contact your relationship manager.

Timeline

We plan to deploy the new billing system to full production in December, following several months of pilot and parallel testing. The timeline of events follows:

October 2004	November 2004	December 2004	Jan 2005
<p>You will receive your DTC invoice for September's activity in the traditional format, delivered using the same delivery method used today. A small group of customers who have agreed to support our pilot testing will also receive an additional copy of the DTC invoice for September's activity, but in the new format.</p>	<p>You will receive your traditional DTC invoice for October's activity. In addition you will receive a copy of the reformatted invoice for October's activity, delivered to you by e-mail. DTC's collection of your invoice payment (e.g., via settlement) will be driven from the traditional invoice. The new invoice is presented for your review, so that you can familiarize yourself with it. This is your opportunity to assess if the changes to our invoice have any impact on your firm and plan for any changes to how you receive and process these invoices.</p>	<p>Our new Billing System will be fully deployed to production. You will receive a copy of the reformatted invoice for November's activity, delivered to you by e-mail. DTC's collection of your invoice payment (e.g., via settlement) will be driven from the new invoice. You will receive one final copy of DTC's traditional invoice for November's activity, which you may use, if you wish, in reconciling the new invoice.</p>	<p>Your DTC invoice for December's activity will only be available in the new format, distributed via e-mail. The traditional invoice format and the traditional distribution method will have been retired.</p>

Compatibility

CCF "BILLCAT" and "BILLDET"

DTC will discontinue the distribution of billing information via the CCF communications interface after the November distribution of the DTC invoice for October activity. All of the billing information currently available through the CCF BILLCAT and BILLDET files will be available in a more usable form via the Spreadsheet Option.

PTS "BILL" Function

DTC will discontinue the use of the PTS "Bill" function after posting the November DTC invoice data. All of the billing information currently available through the PTS Bill function will be available in a more usable form via the Spreadsheet Option.

OMGEO's ID BILL

OMGEO's ID Bill will no longer be included in the DTC invoice. A separate OMGEO invoice will be created and will be distributed by e-mail. Each person who is registered to receive the DTC invoice by e-mail will also automatically receive the OMGEO invoice by e-mail. OMGEO will make its own separate announcement to its customers concerning distribution of the invoice and the transaction detail that supports it. The OMGEO invoice will continue to be paid through DTC Money Settlement.

Registration

If you are currently receiving DTC invoices by e-mail every month, you do not need to do anything. You will automatically be signed up to receive the new DTC invoice by email. You should receive an e-mail message in late October confirming that you have been registered to receive DTC invoices by e-mail.

If you need to see your firm's DTC invoice and you are not currently receiving DTC invoices by e-mail contact your Relationship Manager to sign up.

Please direct comments or questions about this announcement or any aspect of NSCC's Billing Modernization program to Dwight Arthur, Product Manager for NSCC Billing, at 212-855-1087 or Dwight.Arthur@dtcc.com or contact your Relationship Manager.



The Depository Trust & Clearing Corporation

John Doe Company
100 Main Street
Anytown, NY 10000

Please call 1.800.234.5678 for billing related inquiries.

DTC Account Summary	
Customer Name:	John Doe Company
Customer Address:	100 Main Street Anytown, NY 10000
Customer Email:	johndoe.company@email.com
Participant Number:	9998
Invoice Number:	123456
Invoice Date:	March 26, 2004
Period for Services:	For the month March 2004
Billing Currency:	USD
Collection Date:	March 26, 2004
Payment Method:	Settlement
Payment Method Type:	DTC Settlement
Settlement Account Number:	9998
Previous Balance:	\$ 0.00
Collection Amount:	\$ <u>1,589,273.90</u>
Total Amount Due:	\$ 1,589,273.90



The Depository Trust & Clearing Corporation

Your total amount due of \$1,589,273.90 was already debited from your settlement account 9998 on March 26, 2004.

Customer Name: John Doe Company
 Participant Number: 9998
 Invoice Number: 123456
 Collection Amount: \$1,589,273.90
 Collection Date: March 26, 2004



The Depository Trust & Clearing Corporation

Customer Name:
Customer Address:
Customer Email:

John Doe Company
100 Main Street
Anytown NY 10000
johndoe.company@email.com

Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
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Account Fees

General							
215	Participant Account						
215.01	Participant Fee	632	760.00 per mth/acct	\$ 480,320.00	0%	\$ -	\$ 480,320.00
215.02	NSCC Spon Usage	6	760.00 per mth/acct	\$ 4,560.00	0%	\$ -	\$ 4,560.00
215.03	MSTC Specialist	1	760.00 per mth/acct	\$ 760.00	0%	\$ -	\$ 760.00
159	Additional Participant or IPA Account	380	330.00 per mth/acct	\$ 125,400.00	0%	\$ -	\$ 125,400.00
201	Pledgee Account (Participant)	50	330.00 per mth/acct	\$ 16,500.00	0%	\$ -	\$ 16,500.00
208	Pledgee Account (Nonparticipant)	18	570.00 per mth/acct	\$ 10,260.00	0%	\$ -	\$ 10,260.00
Total General:							\$ 637,800.00

Direct Registration System (DRS)

Account Fees							
412	DRS Limited-Purpose Account	27	275.00 per mth/acct	\$ 7,425.00	0%	\$ -	\$ 7,425.00
501	DRS Application Fee	-	250.00 per app.	\$ -	0%	\$ -	\$ -
Total							\$ 7,425.00

Profile Surety Program							
502	Purchase of Surety Coverage	-	at cost	\$ 31,500.00	0%	\$ -	\$ 31,500.00
165	DTC Administrative Cost	10	250.00 per yr	\$ 2,500.00	0%	\$ -	\$ 2,500.00
Total							\$ 34,000.00

Total Direct Registration System: **\$ 41,425.00**

Total Account Fees: **\$ 679,225.00**

Custody and Asset Servicing

Asset Servicing

Maintenance of Long Position							
155	Active Issue						
155.01	Stock Long Position	11,099	0.54 per issue	\$ 5,993.46	0%	\$ -	\$ 5,993.46
155.02	Corporate Bond Position	728	0.54 per issue	\$ 393.12	0%	\$ -	\$ 393.12
155.03	Registered Muni Position	4,025	0.54 per issue	\$ 2,173.50	0%	\$ -	\$ 2,173.50
303	Less Active Issue						
303.01	Corporate Stock Long Position	485	0.79 per issue	\$ 383.15	0%	\$ -	\$ 383.15
303.02	Corporate Bond Position	111	0.79 per issue	\$ 87.69	0%	\$ -	\$ 87.69
303.03	Registered Muni Position	321	1.29 per issue	\$ 414.09	0%	\$ -	\$ 414.09
260	Average Daily shares						
260.01	Corporate Stock Long Position	250,000	0.0052 per issue	\$ 1,300.00	0%	\$ -	\$ 1,300.00
		300,000	0.0013 per issue	\$ 390.00	0%	\$ -	\$ 390.00
		2,500,000	0.000652 per issue	\$ 1,630.00	0%	\$ -	\$ 1,630.00
		3,500,000	0.00005 per issue	\$ 175.00	0%	\$ -	\$ 175.00
		<u>6,550,000</u>		<u>\$ 3,495.00</u>		<u>\$ -</u>	<u>\$ 3,495.00</u>
260.03	Registered Muni Position	250,000	0.0052 per issue	\$ 1,300.00	0%	\$ -	\$ 1,300.00
		<u>630,527</u>	0.0013 per issue	<u>\$ 819.69</u>	0%	<u>\$ -</u>	<u>\$ 819.69</u>
		<u>880,527</u>		<u>\$ 2,119.69</u>		<u>\$ -</u>	<u>\$ 2,119.69</u>



Customer Name:
Customer Address:
Customer Email:

John Doe Company
100 Main Street
Anytown NY 10000
johndoe.company@email.com

Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
109	BEO Issue						
109.01	Corporate Stock Long Position	1,061	0.35 per issue	\$ 371.35	0%	\$ -	\$ 371.35
109.02	Corporate Bond Position	5,765	0.35 per issue	\$ 2,017.75	0%	\$ -	\$ 2,017.75
109.03	Registered Muni Position	30,006	0.35 per issue	\$ 10,502.10	0%	\$ -	\$ 10,502.10
256	Med-term Note, MMI, and Commerical Paper						
256.02	Corporate Bond Position	971	0.60 per issue	\$ 582.60	0%	\$ -	\$ 582.60
256.03	Registered Muni Position	11	0.60 per issue	\$ 6.60	0%	\$ -	\$ 6.60
118	Issue nontransferable for up to 6 yrs						
118.01	Corporate Stock Long Position	1,054	0.17 per issue	\$ 179.18	0%	\$ -	\$ 179.18
118.02	Corporate Bond Position	45	0.17 per issue	\$ 7.65	0%	\$ -	\$ 7.65
118.03	Registered Muni Position	4	0.17 per issue	\$ 0.68	0%	\$ -	\$ 0.68
118.04	Bearer Muni Position	18	0.17 per issue	\$ 3.06	0%	\$ -	\$ 3.06
373	Issue nontransferable after 6 yrs						
373.01	Corporate Stock Long Position	1,780	1.00 per issue	\$ 1,780.00	0%	\$ -	\$ 1,780.00
373.02	Corporate Bond Position	21	1.00 per issue	\$ 21.00	0%	\$ -	\$ 21.00

Asset Servicing - continued

Maintenance of Long Position - continued

269	State of Israel (surcharge)	2	0.40 per issue	\$ 0.80	0%	\$ -	\$ 0.80
362	Cent-denominated or fractional share						
362.01	Corporate Stock Long Position	31	0.61 per issue	\$ 18.91	0%	\$ -	\$ 18.91
362.02	Corporate Bond Position	5	0.61 per issue	\$ 3.05	0%	\$ -	\$ 3.05
362.03	Registered Muni Position	2	0.61 per issue	\$ 1.22	0%	\$ -	\$ 1.22
270	Swing of Security Position						
270.01	Corporate Stock DO	11,840	0.20 per instr.	\$ 2,368.00	0%	\$ -	\$ 2,368.00
270.11	Corporate Stock Dividend	3,092	0.20 per instr.	\$ 618.40	0%	\$ -	\$ 618.40
585	Fed Eligible Issue	30	1.00 per issue	\$ 30.00	0%	\$ -	\$ 30.00
							\$ 33,571.25

Municipal Bearer Bond Service

Long Position for Muni Bearer Bonds							
588	Active Issue	347	0.85 per issue	\$ 294.95	0%	\$ -	\$ 294.95
153	Less Active Issue	5	1.70 per issue	\$ 8.50	0%	\$ -	\$ 8.50
100	Face Value						
100.04	Bearer Muni Position	50,000	0.0679 per dollar	\$ 3,395.00	0%	\$ -	\$ 3,395.00
		100,000	0.16988 per dollar	\$ 16,988.00	0%	\$ -	\$ 16,988.00
		800,000	0.008402 per dollar	\$ 6,721.60	0%	\$ -	\$ 6,721.60
		900,000	0.004201 per dollar	\$ 3,780.90	0%	\$ -	\$ 3,780.90
		1,850,000		\$ 30,885.50		\$ -	\$ 30,885.50
109	BEO Issue	-	0.35 per issue	\$ -		\$ -	\$ -
380	Positions in certificates Bound in Book	89	1.05 per pos.	\$ 93.45	0%	\$ -	\$ 93.45
377	Positions Requiring Coupon Collection	207	0.25 per issue	\$ 51.75	0%	\$ -	\$ 51.75
381	Positions in Multiple-Purpose Issues	43	0.50 per issue	\$ 21.50	0%	\$ -	\$ 21.50
110	Positions in Iss. Den. Units of \$1,000	11	0.50 per issue	\$ 5.50	0%	\$ -	\$ 5.50
361	Fractional Shares (surcharge)	-	0.61 per issue	\$ -		\$ -	\$ -
							\$ 31,361.15

Special Reconciliation Charges

276	Photocopying of Statement or Certificate	762	8.00 per copy	\$ 6,096.00	0%	\$ -	\$ 6,096.00
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Customer Name: John Doe Company
 Customer Address: 100 Main Street
 Anytown NY 10000
 Customer Email: johndoe.company@email.com

Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
126	Recording of Certificate Numbers	10	1.00 per cert.	\$ 10.00	0%	\$ -	\$ 10.00
510	Researching of Aged and Other Items	235,125	0.01 depends	\$ 2,351.25	0%	\$ -	\$ 2,351.25
							\$ 8,457.25

Total Asset Servicing: \$ 73,389.65

Custody Services

All Services

511	Minimum Charge	-	500.00 per month	\$ -		\$ -	\$ -
							\$ -

Custody Services - continued

Custody (Core Services)

216	Withdrawal and Pickup (COD)	3,226	30.00 per wd or pu	\$ 96,780.00	0%	\$ -	\$ 96,780.00
217	Withdrawal and Subsequent deposit	1,516	24.00 per wd or pu	\$ 36,384.00	0%	\$ -	\$ 36,384.00
218	Withdrawal and Shipment	2,961	24.00 per wd / shp	\$ 71,064.00	0%	\$ -	\$ 71,064.00
227	Partial Withdrawal	193	24.00 per wd	\$ 4,632.00	0%	\$ -	\$ 4,632.00
106	Custody Transfer	1,180	30.00 per transfer	\$ 35,400.00	0%	\$ -	\$ 35,400.00
202	Rush Transfer	-	65.00 per transfer	\$ -		\$ -	\$ -
512	Certified Mailing	13	3.95 per mailing	\$ 51.35	0%	\$ -	\$ 51.35
119	Withdrawal and Direct Mail	46	3.62 per wd	\$ 166.52	0%	\$ -	\$ 166.52
233	Restricted Transfer	-	45.48 per transfer	\$ -	0%	\$ -	\$ -
251	Custody Issue - Coupon Clip and Collection						
251.01	Coupon Clipping	516	9.00 per coupon	\$ 4,644.00	0%	\$ -	\$ 4,644.00
251.02	Reorg Coupon Clipping	42	9.00 per coupon	\$ 378.00	0%	\$ -	\$ 378.00
395	Photocopying and Sending Cert. or Other						
395.01	Document copy	5	15.00 per copy	\$ 75.00	0%	\$ -	\$ 75.00
395.02	Custody Photocopies	782	15.00 per copy	\$ 11,730.00	0%	\$ -	\$ 11,730.00
	Imaging of Deposit and Send by Fax/email						
348	First Recipient						
348.01	Customize Mail/Ltr	1,348	5.50 per deposit	\$ 7,414.00	0%	\$ -	\$ 7,414.00
348.02	Image Fax Orig	439	5.50 per deposit	\$ 2,414.50	0%	\$ -	\$ 2,414.50
348.03	Image Email Orig	4,575	5.50 per deposit	\$ 25,162.50	0%	\$ -	\$ 25,162.50
350	Additional recipient						
350.01	Image Fax-Add'l Des	8	2.00 per recipient	\$ 16.00	0%	\$ -	\$ 16.00
350.02	Image Email-Add'l Des	746	2.00 per recipient	\$ 1,492.00	0%	\$ -	\$ 1,492.00
198	Full-service Reorg Deposit	1,218	65.00 per deposit	\$ 79,170.00	0%	\$ -	\$ 79,170.00
367	Receive and Deliver of Foreign Sec. to Cust.	351	7.48 per rec/del	\$ 2,625.48	0%	\$ -	\$ 2,625.48
257	Settlement Deliver	47	17.13 per deliver	\$ 805.11	0%	\$ -	\$ 805.11
258	Settlement Receive	-	24.79 per receive	\$ -	0%	\$ -	\$ -
336	Custody Deposit	19,263	4.86 per deposit	\$ 93,618.18	0%	\$ -	\$ 93,618.18
286	Trailing Document	7,591	4.50 per item	\$ 34,159.50	0%	\$ -	\$ 34,159.50
398	Long Position	329,396	0.56 per cert.	\$ 184,461.76	0%	\$ -	\$ 184,461.76
							\$ 692,643.90

Custody Services - continued

Custody (Non-Core Services)

283	Special Mail or Customized letter	-		\$ -	0%	\$ -	\$ -
353	Custody item Held and Pending Release	260	14.03 per reject	\$ 3,647.80	0%	\$ -	\$ 3,647.80



Customer Name:
Customer Address:
Customer Email:

John Doe Company
100 Main Street
Anytown NY 10000
johndoe.company@email.com

Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
116	Box-to-Box Activity	9,831	9.06 per move	\$ 89,068.86	0%	\$ -	\$ 89,068.86
158	Cancellation Processing	313	16.00 per item	\$ 5,008.00	0%	\$ -	\$ 5,008.00
131	Change of Account Number	2,507	5.00 per change	\$ 12,535.00	0%	\$ -	\$ 12,535.00
386	Reimaging of certificate or Doc via DIP	-	3.28 per receive	\$ -	0%	\$ -	\$ -
375	Sorting of Nonsecuritized receive	158	4.50 per image	\$ 711.00	0%	\$ -	\$ 711.00
360	Deposit of Gov't Security	15	6.52 per deposit	\$ 97.80	0%	\$ -	\$ 97.80
							\$ 111,068.46

Custody (Exception Processing)

295	Withdrawal Not Picked Up	33	28.42 per wd	\$ 937.86	0%	\$ -	\$ 937.86
Rejects							
121	Transfer	161	37.93 per reject	\$ 6,106.73	0%	\$ -	\$ 6,106.73
234	Restricted transfer	-	37.93 per reject	\$ -	0%	\$ -	\$ -
225	Reorg	244	50.00 per reject	\$ 12,200.00	0%	\$ -	\$ 12,200.00
274	Foreign Security from Custodian	53	37.93 per reject	\$ 2,010.29	0%	\$ -	\$ 2,010.29
311	Custody deposit	-	37.93 per reject	\$ -	0%	\$ -	\$ -
358	Government Security	14	37.93 per reject	\$ 531.02	0%	\$ -	\$ 531.02
225	Front-end reject	-	37.93 per reject	\$ -	0%	\$ -	\$ -
229	Exception of Restricted transfer	-	48.06 per item	\$ -	0%	\$ -	\$ -
156	Reorg Research	86	85.00 per item	\$ 7,310.00	0%	\$ -	\$ 7,310.00
Reclaims							
302	Deliver	-	24.71 per reclaim	\$ -	0%	\$ -	\$ -
187	Receive	-	17.96 per reclaim	\$ -	0%	\$ -	\$ -
185	Post-settlement	-	16.75 per reclaim	\$ -	0%	\$ -	\$ -
397	Same-day Addition to Master File	13	30.75 per CUSIP	\$ 399.75	0%	\$ -	\$ 399.75
210	Please Code item	183	1.08 per item	\$ 197.64	0%	\$ -	\$ 197.64
405	Message Facility (Surcharge)	-	0.30 per instr.	\$ -	0%	\$ -	\$ -
115	Box-to-Box Audit Count	-	0.51 per cert.	\$ -	0%	\$ -	\$ -
282	Cutomer Audit Count	40	0.10 per cert.	\$ 4.00	0%	\$ -	\$ 4.00
							\$ 29,697.29

Common Asset Servicing Fees

122	Mail of Issue Surcharge						
122.01	Corporate Stock	113	1.50 per transaction	\$ 169.50	0%	\$ -	\$ 169.50
122.02	Corporate Bond	256	1.50 per transaction	\$ 384.00	0%	\$ -	\$ 384.00
122.03	Registered Muni	187	1.50 per transaction	\$ 280.50	0%	\$ -	\$ 280.50
Research Fee				\$ -	0%	\$ -	\$ -
117	Reject Fee	27,162	50.00 per reject	\$ 1,358,100.00	0%	\$ -	\$ 1,358,100.00
							\$ 1,358,934.00

Total Custody Services: \$ 2,192,343.65

Deposit Services

Branch deposits (Core Services)

197	Regular Deposits Received from a Branch						
Total Regular Deposits Received from a Branch Original Transactions							
197.1	Branch Deposit Original Transactions						
197.11	Regular Deposits	63,962	2.49 per deposit	\$ 159,265.38	0%	\$ -	\$ 159,265.38
197.12	Reorg Mand Deposits	1,060	2.49 per deposit	\$ 2,639.40	0%	\$ -	\$ 2,639.40



Customer Name: John Doe Company
 Customer Address: 100 Main Street
 Anytown NY 10000
 Customer Email: johndoe.company@email.com

Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
197.13	Reorg Redemp Deposits	61	2.49 per deposit	\$ 151.89	0%	\$ -	\$ 151.89
197.14	Reorg Man 3Yr Deposit	344	2.49 per deposit	\$ 856.56	0%	\$ -	\$ 856.56
197.2	Corporate Bond Branch Deposit Original Transactions						
197.21	Regular Deposits	173	2.49 per deposit	\$ 430.77	0%	\$ -	\$ 430.77
197.22	Reorg Mand Deposits	6	2.49 per deposit	\$ 14.94	0%	\$ -	\$ 14.94
197.3	Registered Muni Branch Deposit Original Transactions						
197.31	Regular Deposits	509	2.49 per deposit	\$ 1,267.41	0%	\$ -	\$ 1,267.41
197.4	Bearer Branch Deposit Original Transactions						
197.41	Regular Deposits	197	2.49 per deposit	\$ 490.53	0%	\$ -	\$ 490.53
		66,312					
	Total Regular Deposits Received from a Branch Subsequent Transactions						
197.2	Corporate Bond Branch Deposit Subsequent Transactions						
197.24	Reorg Redemp Deposits	102	2.49 per deposit	\$ 253.98	0%	\$ -	\$ 253.98
197.3	Registered Muni Branch Deposit Subsequent Transactions						
197.32	Reorg Redemp Deposits	194	2.49 per deposit	\$ 483.06	0%	\$ -	\$ 483.06
197.4	Bearer Branch Deposit Subsequent Transactions						
197.42	Reorg Redemp Deposits	90	2.49 per deposit	\$ 224.10	0%	\$ -	\$ 224.10
		386					
	Total Regular Deposits Received from a Branch Transactions	66,698					
314	Legal Deposits Received from a Branch						
	Total Legal Deposits Received from a Branch Original Transactions						
314.01	Branch Deposits	12,740	7.80 per deposit	\$ 99,372.00	0%	\$ -	\$ 99,372.00
314.02	Corporate Bond Branch Deposits	83	7.80 per deposit	\$ 647.40	0%	\$ -	\$ 647.40
314.03	Registered Muni Branch Deposits	188	7.80 per deposit	\$ 1,466.40	0%	\$ -	\$ 1,466.40
314.04	Bearer Branch Branch Deposits	18	7.80 per deposit	\$ 140.40	0%	\$ -	\$ 140.40
		13,029					
	Total Legal Deposits Received from a Branch Transactions	13,029					

\$ 267,704.22

Branch deposits (Non-Core Services)

184	Receipt of Internal pkg	14,016	0.3 per envelope	\$ 4,204.80	0%	\$ -	\$ 4,204.80
192	Recycling of Internal pkg	9,366	0.03 per envelope	\$ 280.98	0%	\$ -	\$ 280.98
242	Consolidation of Multiple deposits	416	0.44 per consolid.	\$ 183.04	0%	\$ -	\$ 183.04
226	Research on Name change of Issue	230	15.00 per change	\$ 3,450.00	0%	\$ -	\$ 3,450.00
246	Change to or Correction of deposit Type	1,607	0.14 per change/cor.	\$ 224.98	0%	\$ -	\$ 224.98
347	Disposal of Unused Bar-coded TKts	18,244	0.01 per ticket	\$ 182.44	0%	\$ -	\$ 182.44
280	Telephone Notification of Errors	97	3.58 per call	\$ 347.26	0%	\$ -	\$ 347.26
235	Ret. of Legal Dep. Sub. thru PELD/PEND	6,679	3.43 per item	\$ 22,908.97	0%	\$ -	\$ 22,908.97
103	Verification of pkg Receipt	3,918	2.03 per package	\$ 7,953.54	0%	\$ -	\$ 7,953.54
248	Correction of Registration	967	0.7 per correction	\$ 676.90	0%	\$ -	\$ 676.90

\$ 40,412.91

Branch deposits (Exception Processing)

161	Addition of Branch item	2,084	2.75 per item	\$ 5,731.00	0%	\$ -	\$ 5,731.00
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The Depository Trust & Clearing Corporation

Customer Name:
Customer Address:
Customer Email:

John Doe Company
100 Main Street
Anytown NY 10000
johndoe.company@email.com

Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
382	Processing of Multideposit Exception	18	4.45 per exception	\$ 80.10	0%	\$ -	\$ 80.10
261	Processing of SIC deposit	8,220	1.75 per deposit	\$ 14,385.00	0%	\$ -	\$ 14,385.00
275	Phone Call to Trsfr Agent for SIC item	6,810	8.14 per call	\$ 55,433.40	0%	\$ -	\$ 55,433.40
228	Processing of Response to Error Cond.	17,642	0.6 per deposit	\$ 10,585.20	0%	\$ -	\$ 10,585.20
245	Processing of deposit rejected Same Day	2,471	4.5 per reject	\$ 11,119.50	0%	\$ -	\$ 11,119.50
285	Processing of Trailing Docs Through PEND	9,993	3.43 per item	\$ 34,275.99	0%	\$ -	\$ 34,275.99
210	Please Code Item	183	1.08 per item	\$ 197.64	0%	\$ -	\$ 197.64
							\$ 131,610.19

Deposit Services - continued

Depository Facilities

407	Facility Usage Fee						
407.01	Corporate Stock Deposits	1,268	0.73 per deposit	\$ 925.64	0%	\$ -	\$ 925.64
407.02	Corporate Bond Deposits	2	0.73 per deposit	\$ 1.46	0%	\$ -	\$ 1.46
407.03	Registered Muni Position Deposits	6	0.73 per deposit	\$ 4.38	0%	\$ -	\$ 4.38
407.04	Muni Bearer Bond Deposits	2	0.73 per deposit	\$ 1.46	0%	\$ -	\$ 1.46
406	Facility deposit						
406.01	Corporate Stock Deposits	1,268	0.40 per deposit	\$ 507.20	0%	\$ -	\$ 507.20
406.02	Corporate Bond Deposits	2	0.40 per deposit	\$ 0.80	0%	\$ -	\$ 0.80
406.03	Registered Muni Position Deposits	6	0.40 per deposit	\$ 2.40	0%	\$ -	\$ 2.40
406.04	Muni Bearer Bond Deposits	2	0.40 per deposit	\$ 0.80	0%	\$ -	\$ 0.80
							\$ 1,444.14

Legal deposits

312	Full-Service Deposit						
Total Full-Service Deposit Original Transactions							
312.01	Corporate Stock Deposits	2,613	6.25 per deposit	\$ 16,331.25	0%	\$ -	\$ 16,331.25
312.02	Corporate Bond Deposits	18	6.25 per deposit	\$ 112.50	0%	\$ -	\$ 112.50
312.03	Registered Muni Position Deposits	120	6.25 per deposit	\$ 750.00	0%	\$ -	\$ 750.00
312.04	Muni Bearer Bond Deposits	2	6.25 per deposit	\$ 12.50	0%	\$ -	\$ 12.50
		2,753					
Total Full-Service Deposit Transactions		2,753					
310	Basic Deposit						
Total Basic Deposit Original Transactions							
310.01	Corporate Stock Deposits	19,156	2.71 per deposit	\$ 51,912.76	0%	\$ -	\$ 51,912.76
310.02	Corporate Bond Deposits	133	2.71 per deposit	\$ 360.43	0%	\$ -	\$ 360.43
310.03	Registered Muni Position Deposits	569	2.71 per deposit	\$ 1,541.99	0%	\$ -	\$ 1,541.99
310.04	Muni Bearer Bond Deposits	32	2.71 per deposit	\$ 86.72	0%	\$ -	\$ 86.72
		19,890					
Total Basic Deposit Transactions		19,890					
309	Tracking Full-service or Basic Dep.						
309.01	Corporate Stock Deposits	18,465	0.20 per deposit	\$ 3,693.00	0%	\$ -	\$ 3,693.00
309.02	Corporate Bond Deposits	121	0.20 per deposit	\$ 24.20	0%	\$ -	\$ 24.20
309.03	Registered Muni Position Deposits	621	0.20 per deposit	\$ 124.20	0%	\$ -	\$ 124.20
309.04	Muni Bearer Bond Deposits	26	0.20 per deposit	\$ 5.20	0%	\$ -	\$ 5.20



Customer Name: John Doe Company
Customer Address: 100 Main Street
 Anytown NY 10000
Customer Email: johndoe.company@email.com

Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
280	Telephone Notification of Trsfr	15	3.58 per deposit	\$ 53.70	0%	\$ -	\$ 53.70
							\$ 75,008.45

Deposit Services - continued

Municipal Bearer Bond Service

Deposit, by Issue							
Total Deposit, by Issue Original Transactions							
135	First 10 certificates	711	6.25 per deposit	\$ 4,443.75	0%	\$ -	\$ 4,443.75
		<u>711</u>					
Total Deposit, by Issue Subsequent Transactions							
136	Group of 10 After First 10						
136.4	Deposit Certificate	1	6.25 per group of 10	\$ 6.25	0%	\$ -	\$ 6.25
136.41	Bearer Deposit Certificate	-	2.00 per group of 10	\$ -	0%	\$ -	\$ -
136.42	Deposit Certificate	-	0.01 per group of 10	\$ 116.00	0%	\$ -	\$ 116.00
		<u>1</u>					
Total Deposit, by Issue Transactions		<u>712</u>					
317	Deposit made Outside PTS Function DAM						
263	Deposit of Certificates w/o CUSIP	233	0.65 per deposit	\$ 151.45	0%	\$ -	\$ 151.45
							\$ 4,839.70

Registered certificate deposits

132	Registered Certificate Deposit						
Total Registered Certificate Deposit Original Transactions							
132.1	Corporate Stock Reg. Cert. Dep. Original Transactions						
132.11	Deposit-Night	32,816	2.15 per deposit	\$ 70,554.40	0%	\$ -	\$ 70,554.40
132.12	Deposit-Day	55,958	2.15 per deposit	\$ 120,309.70	0%	\$ -	\$ 120,309.70
132.2	Corporate Bond Reg. Cert. Dep. Original Transactions						
132.21	Deposit-Night	348	2.15 per deposit	\$ 748.20	0%	\$ -	\$ 748.20
132.22	Deposit-Day	276	2.15 per deposit	\$ 593.40	0%	\$ -	\$ 593.40
132.3	Registered Muni Position Reg. Cert. Dep. Original Transactions						
132.31	Deposit-Night	620	2.15 per deposit	\$ 1,333.00	0%	\$ -	\$ 1,333.00
		<u>90,018</u>					
Total Registered Certificate Deposit Subsequent Transactions							
132.4	Corporate Stock Reg. Cert. Dep. Subsequent Transactions						
132.43	Front End Rej Dep	129	2.15 per deposit	\$ 277.35	0%	\$ -	\$ 277.35
		<u>129</u>					
Total Registered Certificate Deposit Transactions		<u>90,147</u>					
330	Certificate Charge						
330.01	Corporate Stock	3,160	0.30 per group	\$ 948.00	0%	\$ -	\$ 948.00
330.02	Registered Muni Position	4	0.30 per group	\$ 1.20	0%	\$ -	\$ 1.20
326	Record Date or Cutoff Date deposit						
326.01	Corporate Stock	2,347	5.00 per deposit	\$ 11,735.00	0%	\$ -	\$ 11,735.00
326.02	Corporate Bond	19	5.00 per deposit	\$ 95.00	0%	\$ -	\$ 95.00
326.03	Registered Muni Deposits	11	5.00 per deposit	\$ 55.00	0%	\$ -	\$ 55.00
326.04	Bearer Muni Deposits	4	5.00 per deposit	\$ 20.00	0%	\$ -	\$ 20.00
117	Rejected Deposit						
117.01	Corporate Stock	4,140	50.00 per deposit	\$ 207,000.00	0%	\$ -	\$ 207,000.00



The Depository Trust & Clearing Corporation

Customer Name: John Doe Company
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 Anytown NY 10000
 Customer Email: johndoe.company@email.com

Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
117.02	Bearer Muni Deposits	59	50.00 per deposit	\$ 2,950.00	0%	\$ -	\$ 2,950.00
117.03	Branch Deposit	468	50.00 per deposit	\$ 23,400.00	0%	\$ -	\$ 23,400.00
402	FAST Transfer Agent Deposit						
	Total FAST Transfer Agent Deposit Original Transactions						
402.01	Corporate Stock Deposits	62,711	1.50 per deposit	\$ 94,066.50	0%	\$ -	\$ 94,066.50
402.02	Corporate Bond Deposits	3,061	1.50 per deposit	\$ 4,591.50	0%	\$ -	\$ 4,591.50
402.03	Registered Muni Deposits	135	1.50 per deposit	\$ 202.50	0%	\$ -	\$ 202.50
		65,907					
	Total FAST Transfer Agent Deposit Transactions	65,907					
374	Nontransferable Issue						
	Total Nontransferable Issue Original Transactions						
374.01	Corporate Stock Deposits	281	6.25 per deposit	\$ 1,756.25	0%	\$ -	\$ 1,756.25
374.02	Corporate Bond Deposits	172	6.25 per deposit	\$ 1,075.00	0%	\$ -	\$ 1,075.00
		453					
	Total Nontransferable Issue Transactions	453					
149	Previous Reverse Split						
	Total Previous Reverse Split Original Transactions						
149.01	Corporate Stock Deposits	14,329	6.25 per deposit	\$ 89,556.25	0%	\$ -	\$ 89,556.25
		14,329					
	Total Previous Reverse Split Subsequent Transactions						
149.02	Corporate Bond Deposits	1	6.25 per deposit	\$ 6.25	0%	\$ -	\$ 6.25
149.03	Registered Muni Deposits	25	6.25 per deposit	\$ 156.25	0%	\$ -	\$ 156.25
		26					
	Total Previous Reverse Split Transactions	14,355					
317	Deposit (surcharge)						
317.01	Corporate Stock	345	1.00 per deposit	\$ 345.00	0%	\$ -	\$ 345.00
317.02	Corporate Bond	8	1.00 per deposit	\$ 8.00	0%	\$ -	\$ 8.00
317.03	Registered Muni Deposits	23	1.00 per deposit	\$ 23.00	0%	\$ -	\$ 23.00
513	Transfer Agent Charges						
513.01	Custody Fee	-	1.00 per unit	\$ -	0%	\$ -	\$ -
513.02	Custody TA Fee	-	0.01 per unit	\$ 68,258.98	0%	\$ -	\$ 68,258.98
513.03	Custody SCL Fee	22,500	0.01 per unit	\$ 225.00	0%	\$ -	\$ 225.00
513.04	Dep TA Fee	-	0.01 per unit	\$ 207,856.83	0%	\$ -	\$ 207,856.83
							\$ 908,147.56

Restricted deposits

230	Restricted deposit Serviced (RDS)	4,211	45.48 per deposit	\$ 191,516.28	0%	\$ -	\$ 191,516.28
128	Processing of Trailing Docs	9	3.48 per item	\$ 31.32	0%	\$ -	\$ 31.32
129	RDS Mail Forwarding	155	18.52 per item	\$ 2,870.60	0%	\$ -	\$ 2,870.60
							\$ 194,418.20

Total Deposit Services: **\$ 1,355,881.15**

Direct Registration System (DRS)
 Withdrawals by Transfer



Customer Name:
Customer Address:
Customer Email:

John Doe Company
100 Main Street
Anytown NY 10000
johndoe.company@email.com

Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
515	Establishment of DRS Account	-	0.55 per tran advice	\$ -	0%	\$ -	\$ -
292	DO through DRS	30,872	0.45 per transaction	\$ 13,892.40	0%	\$ -	\$ 13,892.40
124	Request of Certificate for DRS-eligible Issue	22,459	5.00 per certificate	\$ 112,295.00	0%	\$ -	\$ 112,295.00
281	Initiation of DRS Profile Transaction	31,405	0.31 per transaction	\$ 9,735.55	0%	\$ -	\$ 9,735.55
281	Cancellation of DRS Profile Change	-	0.31 per transaction	\$ -	0%	\$ -	\$ -
	Approval of DRS Profile Change						
389	Init. of DRS Change via PTS func. DRST	-	0.40 per transaction	\$ -	0%	\$ -	\$ -
389	Canc. of DRS Change via PTS func. DRST	-	0.40 per transaction	\$ -	0%	\$ -	\$ -
							\$ 135,922.95

Total Direct Registration System: \$ 135,922.95

Dividend Services

Dividend Credits

271	Stock Dividend Payment						
271.01	Corporate Stock Dividend	8,287	8.87 per credit	\$ 73,505.69	0%	\$ -	\$ 73,505.69
271.02	Corporate Bond Dividend Charges	65	8.87 per credit	\$ 576.55	0%	\$ -	\$ 576.55
271.03	Registered Muni Dividend	2	8.87 per credit	\$ 17.74	0%	\$ -	\$ 17.74
271.04	Bearer Muni Interest Dividend	34	8.87 per credit	\$ 301.58	0%	\$ -	\$ 301.58
Cash Dividend or Interest Payment							
160	Corporate Issue						
160.01	Corporate Stock Dividend	339,480	1.31 per credit	\$ 444,718.80	0%	\$ -	\$ 444,718.80
160.02	Corporate Bond Dividend Charges	180,312	1.31 per credit	\$ 236,208.72	0%	\$ -	\$ 236,208.72
516	Floating Payable Date Security						
516.01	Corporate Stock Dividend	64,582	2.40 per credit	\$ 154,996.80	0%	\$ -	\$ 154,996.80
516.03	Registered Muni Dividend	21,243	2.40 per credit	\$ 50,983.20	0%	\$ -	\$ 50,983.20
111	ABS/CMO P&I Fee	249,806	2.60 per credit	\$ 649,495.60	0%	\$ -	\$ 649,495.60
391	MMI	113,539	0.98 per credit	\$ 111,268.22	0%	\$ -	\$ 111,268.22
266	Stock Loan Income Trkg and REPO	129,331	0.31 per credit/debit	\$ 40,092.61	0%	\$ -	\$ 40,092.61
Municipal Interest Payment							
162	Registered Municipal Issue	656,928	1.53 per credit	\$ 1,005,099.84	0%	\$ -	\$ 1,005,099.84
196	VRDO	42,451	2.90 per credit	\$ 123,107.90	0%	\$ -	\$ 123,107.90
325	Research on Invalid Div. or Int. Claim	-	2.50 per claim	\$ -	0%	\$ -	\$ -
213	Photocopying						
213.01	Dividend Extra Copy	202	8.00 per copy	\$ 1,616.00	0%	\$ -	\$ 1,616.00
213.02	Photocopy (GC)	50	8.00 per copy	\$ 400.00	0%	\$ -	\$ 400.00
209	Adjustment of Trade Proceeds	4,893	0.31 per credit/debit	\$ 1,516.83	0%	\$ -	\$ 1,516.83
355	DRP Inst. to Rec. Stock in Lieu of Cash Div.	2,781	14.00 per allocation	\$ 38,934.00	0%	\$ -	\$ 38,934.00
179	Automatic Reinvestment of Dividend	5,063	8.87 per allocation	\$ 44,908.81	0%	\$ -	\$ 44,908.81
262	CRS Election	293	35.00 per election	\$ 10,255.00	0%	\$ -	\$ 10,255.00
							\$ 2,988,003.89

Municipal Bearer Bond Service

Interest Payments							
379	Credit	21,325	6.50 per credit	\$ 138,612.50	0%	\$ -	\$ 138,612.50
154	Surcharge	98,919	0.07 per \$1k int rec.	\$ 6,924.33	0%	\$ -	\$ 6,924.33
Coupon Collection Service							
169	Shell CUSIP is Contained	1,099	21.00 per shell	\$ 23,079.00	0%	\$ -	\$ 23,079.00



Customer Name:
Customer Address:
Customer Email:

John Doe Company
100 Main Street
Anytown NY 10000
johndoe.company@email.com

Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
327	Shell Cust-assigned ID is Contained	44	23.00 per shell	\$ 1,012.00	0%	\$ -	\$ 1,012.00
252	Rejected Shell	73	15.00 per shell	\$ 1,095.00	0%	\$ -	\$ 1,095.00
							\$ 170,722.83

Total Dividend Services: \$ 3,294,649.67

New York Window Services

Deliveries

414	ESS or FOSS Delivery						
414.01	ESS Delivery	812	10.15 per delivery	\$ 8,241.80	0%	\$ -	\$ 8,241.80
414.02	FOSS Delivery	2	10.15 per delivery	\$ 20.30	0%	\$ -	\$ 20.30
411	ESS or FOSS Reclaim Delivery	60	10.15 per item	\$ 609.00	0%	\$ -	\$ 609.00
333	Internal Cross-Delivery	30	9.70 per delivery	\$ 291.00	0%	\$ -	\$ 291.00
371	OTW Delivery	1,196	15.80 per delivery	\$ 18,896.80	0%	\$ -	\$ 18,896.80
369	OTW Reclaim Delivery	45	14.95 per item	\$ 672.75	0%	\$ -	\$ 672.75
							\$ 28,731.65

Receives

413	ESS or FOSS Receive						
413.01	ESS Receive	8,738	10.15 per receive	\$ 88,690.70	0%	\$ -	\$ 88,690.70
413.02	FOSS Receive	7,949	10.15 per receive	\$ 80,682.35	0%	\$ -	\$ 80,682.35
370	OTW Receive	1,785	14.95 per receive	\$ 26,685.75	0%	\$ -	\$ 26,685.75
133	Branch Receive w/o SIC	41	6.50 per receive	\$ 266.50	0%	\$ -	\$ 266.50
409	ESS or FOSS Reclaim Receive						
409.01	ESS Reclaim Receive	49	10.15 per item	\$ 497.35	0%	\$ -	\$ 497.35
409.02	FOSS Reclaim Receive	4	10.15 per item	\$ 40.60	0%	\$ -	\$ 40.60
332	Internal Cross-Receive	79	9.70 per receive	\$ 766.30	0%	\$ -	\$ 766.30
368	OTW Reclaim Receive	13	15.80 per item	\$ 205.40	0%	\$ -	\$ 205.40
							\$ 197,834.95

Physical Processing

194	DTC Deposit	4	4.20 per deposit	\$ 16.80	0%	\$ -	\$ 16.80
423	COD Pickup	29	4.00 per item	\$ 116.00	0%	\$ -	\$ 116.00
							\$ 132.80

Transfers

233	Restricted Transfer						
233.01	Misc. NY Window Serv	-	45.48 per transfer	\$ 68,875.59	0%	\$ -	\$ 68,875.59
233.02	NY Window Agent Fees	-	45.48 per transfer	\$ 410.00	0%	\$ -	\$ 410.00
340	Direct Clearing Transfer	3	14.50 per transfer	\$ 43.50	0%	\$ -	\$ 43.50
							\$ 69,329.09

Other Services

238	Return to Customer						
238	Return of Security	-	0.30 per security	\$ -	0%	\$ -	\$ -
236	Transportation	49	12.00 per trip	\$ 588.00	0%	\$ -	\$ 588.00
152	Messenger Service	-	7.50 per hr, on req	\$ 55.00	0%	\$ -	\$ 55.00
90	Daily Balancing	352	15.00 per day	\$ 5,280.00	0%	\$ -	\$ 5,280.00
							\$ 5,923.00

Total New York Window Services: \$ 301,951.49



Customer Name:
Customer Address:
Customer Email:

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100 Main Street
Anytown NY 10000
johndoe.company@email.com

Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
Reorganization Services							
Municipal Bearer Bond Service							
Redmption or Maturity							
195	Full or Partial						
195.03	Registered Muni Redemption	4	17.50 min per rd/mty	\$ 70.00	0%	\$ -	\$ 70.00
195.04	Bearer Muni Redemption	33,661	17.50 min per rd/mty	\$ 589,067.50	0%	\$ -	\$ 589,067.50
408	Additional Redemption or Maturity						
408.03	Registered Muni Redemption	-	0.17 per \$1000	\$ -	0%	\$ -	\$ -
408.04	Bearer Muni Redemption	-	0.17 per \$1000	\$ -	0%	\$ -	\$ -
584	Coupon Clipping and Collection	62,711	1.50 per issue	\$ 94,066.50	0%	\$ -	\$ 94,066.50
							\$ 589,137.50

Reorganization

Common shares							
241	1 to 400 Common shares						
241.01	RTS Subscript Min	88	15.24 pr tran+2DOfee	\$ 1,341.12	0%	\$ -	\$ 1,341.12
241.02	RTS Cover Min Item	1	15.24 pr tran+2DOfee	\$ 15.24	0%	\$ -	\$ 15.24
241.03	Minimum Items	287	15.24 pr tran+2DOfee	\$ 4,373.88	0%	\$ -	\$ 4,373.88
241.04	Warrant Minimum	108	15.24 pr tran+2DOfee	\$ 1,645.92	0%	\$ -	\$ 1,645.92
167	401 to 2,000 Common shares						
167.01	RTS Scale Sharers	97,676	0.04 pr shr+2DO fee	\$ 3,711.69	0%	\$ -	\$ 3,711.69
167.02	RTS Cover Scale Share	4,052	0.04 pr shr+2DO fee	\$ 153.98	0%	\$ -	\$ 153.98
167.03	Corporate Stock Conversion Scale Shares	220,865	0.04 pr shr+2DO fee	\$ 8,392.87	0%	\$ -	\$ 8,392.87
167.04	Corporate Stock Conversion Scale Shares	66,606	0.04 pr shr+2DO fee	\$ 2,531.03	0%	\$ -	\$ 2,531.03
167.05	Corporate Bond Conversion Scale Shares	2,000	0.04 pr shr+2DO fee	\$ 76.00	0%	\$ -	\$ 76.00
164	2,001 or More Common shares						
164.01	Right Max Items	287	76.00 pr tran+2DOfee	\$ 21,812.00	0%	\$ -	\$ 21,812.00
164.02	RTS Cover Max Item	11	76.00 pr tran+2DOfee	\$ 836.00	0%	\$ -	\$ 836.00
164.03	Corp Stock Conversion Maximum Items	501	76.00 pr tran+2DOfee	\$ 38,076.00	0%	\$ -	\$ 38,076.00
164.04	Warrant Maximum	70	76.00 pr tran+2DOfee	\$ 5,320.00	0%	\$ -	\$ 5,320.00
164.05	Corp Bond Conversion Maximum Items	17	76.00 pr tran+2DOfee	\$ 1,292.00	0%	\$ -	\$ 1,292.00
522	Instruction Fee						
522.01	RTS Scale Items	98	0.90 per instr.	\$ 88.20	0%	\$ -	\$ 88.20
522.02	RTS Cover Scale Item	4	0.90 per instr.	\$ 3.60	0%	\$ -	\$ 3.60
522.03	Corporate Stock Conversion Scale Items	229	0.90 per instr.	\$ 206.10	0%	\$ -	\$ 206.10
522.04	Corporate Stock Conversion Scale Items	71	0.90 per instr.	\$ 63.90	0%	\$ -	\$ 63.90
522.05	Corporate Bond Conversion Scale Items	1	0.90 per instr.	\$ 0.90	0%	\$ -	\$ 0.90
273	Unit Swingover						
273.1	Coporate Stock Units						
273.11	Combined	-	9.06 per swingover	\$ -	0%	\$ -	\$ -
273.12	Separate	-	9.06 per swingover	\$ -	0%	\$ -	\$ -
273.2	Coporate Bond Units						
273.21	Combined	-	9.06 per swingover	\$ -	0%	\$ -	\$ -
273.22	Separate	-	9.06 per swingover	\$ -	0%	\$ -	\$ -
523	DO Swingover						
523.01	Corporate Stock Units	-	0.45 pr unit proc fee	\$ -	0%	\$ -	\$ -
523.02	Coporate Bond Units	-	0.45 pr unit proc fee	\$ -	0%	\$ -	\$ -
Mandatory Exchange or Redemption							



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Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
193	Corporate Issue						
193.01	Corporate Stock Redemp/Exchange	16,918	19.40 per part pos	\$ 328,209.20	0%	\$ -	\$ 328,209.20
193.02	Corporate Bond Redemp/Exchange	30,720	19.40 per part pos	\$ 595,968.00	0%	\$ -	\$ 595,968.00
195	Registered Municipal Issue						
195.03	Registered Muni Redemp	63,593	17.50 per position	\$ 1,112,877.50	0%	\$ -	\$ 1,112,877.50
195.04	Bearer Muni Redemp	3,661					
Reorganization Services - Continued							
<i>Reorganization - continued</i>							
408	Add'l Fee for Cert. Registered Municipal Issue						
408.03	Registered Muni Redemption	225	0.17 per \$1000	\$ 38.25	0%	\$ -	\$ 38.25
408.04	Bearer Muni Redemption	378	0.17 per \$1000	\$ 64.26	0%	\$ -	\$ 64.26
524	Fully Prerefunded Security	22,189	7.00 per part pos	\$ 155,323.00	0%	\$ -	\$ 155,323.00
525	Shipping Charge for Early CD Redemption	-	at cost	\$ 10.96	0%	\$ -	\$ 10.96
376	Proc. of Position Swing from Name change	3,002	6.60 per part pos	\$ 19,813.20	0%	\$ -	\$ 19,813.20
	Reorganization deposit			\$ -	0%	\$ -	\$ -
207	Mandatory (Regular or Legal)						
207.1	Corporate Stock Redemp/Exchange						
207.11	Reorg Mand-(Dep)	2,032	53.00 per deposit	\$ 107,696.00	0%	\$ -	\$ 107,696.00
207.12	Leg Mand-(Dep)	285	53.00 per deposit	\$ 15,105.00	0%	\$ -	\$ 15,105.00
207.13	Mand Over 3yrs (Dep)	2,887	53.00 per deposit	\$ 153,011.00	0%	\$ -	\$ 153,011.00
207.2	Corporate Bond Redemp						
207.21	Reorg Mand-(Dep)	12	53.00 per deposit	\$ 636.00	0%	\$ -	\$ 636.00
207.22	Leg Mand-(Dep)	2	53.00 per deposit	\$ 106.00	0%	\$ -	\$ 106.00
207.23	Mand Over 3yrs (Dep)	41	53.00 per deposit	\$ 2,173.00	0%	\$ -	\$ 2,173.00
207.3	Registered Muni Redemp						
207.31	Mand Over 3yrs (Dep)	1	53.00 per deposit	\$ 53.00	0%	\$ -	\$ 53.00
221	Redemption or Call (Regular or Legal)						
221.1	Corporate Stock Redemp/Exchange						
221.11	Reorg Redemp (Dep)	225	31.50 per deposit	\$ 7,087.50	0%	\$ -	\$ 7,087.50
221.12	Reorg Leg Redemp (Dep)	75	31.50 per deposit	\$ 2,362.50	0%	\$ -	\$ 2,362.50
221.2	Corporate Bond Redemp						
221.21	Reorg Redemp (Dep)	615	31.50 per deposit	\$ 19,372.50	0%	\$ -	\$ 19,372.50
221.22	Reorg Leg Redemp (Dep)	152	31.50 per deposit	\$ 4,788.00	0%	\$ -	\$ 4,788.00
221.3	Registered Muni Redemp						
221.31	Reorg Redemp (Dep)	679	31.50 per deposit	\$ 21,388.50	0%	\$ -	\$ 21,388.50
221.32	Reorg Leg Redemp (Dep)	109	31.50 per deposit	\$ 3,433.50	0%	\$ -	\$ 3,433.50
221.4	Bearer Muni Redemp						
221.41	Reorg Redemp (Dep)	548	31.50 per deposit	\$ 17,262.00	0%	\$ -	\$ 17,262.00
221.42	Reorg Leg Redemp-Dep	6	31.50 per deposit	\$ 189.00	0%	\$ -	\$ 189.00
244	Sale of Rights						
244.01	Corporate Stock Redemp/Exchange	-	35.00 per ltr of trans	\$ -	0%	\$ -	\$ -
244.02	Corporate Bond Redemp/Exchange	-	35.00 per ltr of trans	\$ -	0%	\$ -	\$ -
Vol. Exchge, Put, Tdr Offer, or Tdr Wdrl							
526	Standard						
526.1	Corporate Stock Redemp/Exchange						
526.11	Tender Offer	2	35.00 per letter	\$ 70.00	0%	\$ -	\$ 70.00
526.12	Tender Withdrawal	1	35.00 per letter	\$ 35.00	0%	\$ -	\$ 35.00



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Statement of Fees	
Invoice Number:	123456
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Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
526.13	Puts Transfer	175	35.00 per letter	\$ 6,125.00	0%	\$ -	\$ 6,125.00
526.14	Puts Withdrawal	53	35.00 per letter	\$ 1,855.00	0%	\$ -	\$ 1,855.00
526.15	Vol Exchange/Tender	5	35.00 per letter	\$ 175.00	0%	\$ -	\$ 175.00
526.2	Corporate Bond Redemp						
526.21	Vol Exchange/Foreign	2	35.00 per letter	\$ 70.00	0%	\$ -	\$ 70.00
526.22	Vol Exchange/Tender	706	35.00 per letter	\$ 24,710.00	0%	\$ -	\$ 24,710.00
526.3	Registered Muni Redemp						
526.31	Vol Exchange/Tender	1	35.00 per letter	\$ 35.00	0%	\$ -	\$ 35.00
527	Base						
527.1	Corporate Stock Redemp/Exchange						
527.11	Tender Transfer	107	20.00 per instruction	\$ 2,140.00	0%	\$ -	\$ 2,140.00
527.12	Tender Withdrawal	2	20.00 per instruction	\$ 40.00	0%	\$ -	\$ 40.00
527.13	Puts transfer	2	20.00 per instruction	\$ 40.00	0%	\$ -	\$ 40.00
527.14	Puts Withdrawal	8	20.00 per instruction	\$ 160.00	0%	\$ -	\$ 160.00

Reorganization Services - continued

Reorganization - continued

528	Bulk						
528.1	Corporate Stock Redemp/Exchange						
528.11	Tender Transfer	1,081	0.70 per ea addtl ins	\$ 756.70	0%	\$ -	\$ 756.70
528.12	Tender Withdrawal	171	0.70 per ea addtl ins	\$ 119.70	0%	\$ -	\$ 119.70
528.13	Puts Transfer	11	0.70 per ea addtl ins	\$ 7.70	0%	\$ -	\$ 7.70
528.14	Puts Withdrawal	-	0.70 per ea addtl ins	\$ -	0%	\$ -	\$ -
529	Offer Service Fee						
529.1	Corporate Stock Redemp/Exchange						
529.11	Tender	95	20.00 per pos holder	\$ 1,900.00	0%	\$ -	\$ 1,900.00
529.12	Puts	8	20.00 per pos holder	\$ 160.00	0%	\$ -	\$ 160.00
530	Put Swing Standard	4	35.00 per req to mov	\$ 140.00	0%	\$ -	\$ 140.00
150, 239	Protect (Submission and Rights)						
150	Corporate Stock - Protect Submission	609	4.15 per transaction	\$ 2,527.35	0%	\$ -	\$ 2,527.35
239	Corporate Stock - Rights Protect fee	16	4.15 per transaction	\$ 66.40	0%	\$ -	\$ 66.40
219	Photocopying of Prev. Issued Impt Notice	12	8.00 per copy/req	\$ 96.00	0%	\$ -	\$ 96.00
212	CMOP Service	219	6.42 pr CMOP+2DO	\$ 1,405.98	0%	\$ -	\$ 1,405.98
321	IVORS	12,093	1.71 per redmp/sale	\$ 20,679.03	0%	\$ -	\$ 20,679.03
532	Remarketing Agent Fee						
532.02	Corporate Bond	5	17.50 transaction	\$ 87.50	0%	\$ -	\$ 87.50
532.03	Registered Muni	18	17.50 transaction	\$ 315.00	0%	\$ -	\$ 315.00
532.04	Bearer Muni	1	17.50 transaction	\$ 17.50	0%	\$ -	\$ 17.50
533	Proxy Record Date Meeting	2,504	0.50 per rec dt pos	\$ 1,252.00	0%	\$ -	\$ 1,252.00
534	Proc. of Dissent Ltr or Shareholder Demand	16	400.00 per diss ltr	\$ 6,400.00	0%	\$ -	\$ 6,400.00
							\$ 2,711,032.15

Total Reorganization Services: \$ 3,300,169.65

Withdrawal Services

Interface Department

334	Sorting	371	53.50 per month	\$ 19,848.50	0%	\$ -	\$ 19,848.50
335	Shipping	292	87.57 per month	\$ 25,570.44	0%	\$ -	\$ 25,570.44
363	Withdrawal-by-transfer						



The Depository Trust & Clearing Corporation

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Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
363.01	Corporate Stock Transfer	7,342	0.35 per WT	\$ 2,569.70	0%	\$ -	\$ 2,569.70
363.02	Corporate Bond Transfer	7	0.35 per WT	\$ 2.45	0%	\$ -	\$ 2.45
363.03	Registered Muni Transfers	262	0.35 per WT	\$ 91.70	0%	\$ -	\$ -
364	Urgent withdrawal						
364.01	Corporate Stock COD	129	7.44 per COD	\$ 959.76	0%	\$ -	\$ 959.76
364.02	Corporate Bond COD	21	7.44 per COD	\$ 156.24	0%	\$ -	\$ 156.24
364.03	Registered Muni COD	3	7.44 per COD	\$ 22.32	0%	\$ -	\$ 22.32
364.04	Bearer Muni COD	69	7.44 per COD	\$ 513.36	0%	\$ -	\$ 513.36
331	Withholding of Material Same Day Pickup	-	20.00 per occurrence	\$ -	0%	\$ -	\$ -
329	Withholding of Material No Show	-	40.00 per occurrence	\$ -	0%	\$ -	\$ -
535	Mailing						
535.01	Postage via DTC	-	At Cost	\$ 16,352.65	0%	\$ -	\$ 16,352.65
535.02	DMD Supp Postage	-	At Cost	\$ 307.85	0%	\$ -	\$ 307.85
535.03	Federal Express	-	At Cost	\$ 48.32	0%	\$ -	\$ 48.32
535.04	Federal Express (GC)	-	At Cost	\$ -	0%	\$ -	\$ -
535.05	Shipping Charges	-	At Cost	\$ -	0%	\$ -	\$ -
535.06	Priority Mail Interface	-	At Cost	\$ 1,709.32	0%	\$ -	\$ 1,709.32
535.07	Registered Mail	-	At Cost	\$ -	0%	\$ -	\$ -
535.08	Shipping	-	At Cost	\$ 982.52	0%	\$ -	\$ 982.52
535.09	Postage	-	At Cost	\$ -	0%	\$ -	\$ -
535.11	UPS Charges	-	At Cost	\$ -	0%	\$ -	\$ -
							\$ 66,351.59

Municipal Bearer Bond Service

COD							
Total COD Original Transactions							
220	First 10 certificates	8	35.75 per COD	\$ 286.00	0%	\$ -	\$ 286.00
Total COD Subsequent Transactions							
536	Group of 10 After First 10	-	4.00 per group of 10	\$ 132.00	0%	\$ -	\$ 132.00
Total COD Transactions							
		8					
296	Unclaimed COD	2	28.00 per unclmd wd	\$ 56.00	0%	\$ -	\$ 56.00
							\$ 474.00

Withdrawal Services - continued

Urgent withdrawal, or certificates-on-Demand

232 COD							
Total COD Original Transactions							
232.01	Corporate Stock COD	205	41.70 per withdrawal	\$ 8,548.50	0%	\$ -	\$ 8,548.50
232.02	Corporate Bond COD	311	41.70 per withdrawal	\$ 12,968.70	0%	\$ -	\$ 12,968.70
		516					
Total COD Subsequent Transactions							
232.11	Corporate Stock COD	4	41.70 per withdrawal	\$ 166.80	0%	\$ -	\$ 166.80
		4					
Total COD Transactions							
		520					
284	Critical withdrawal Request						



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The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
Total CWR Original Transactions							
284.01	Corporate Stock COD	14	75.00 per request	\$ 1,050.00	0%	\$ -	\$ 1,050.00
284.03	Registered Muni COD	9	75.00 per request	\$ 675.00	0%	\$ -	\$ 675.00
		<u>23</u>					
Total CWR Transactions							
		<u>23</u>					
294	Unclaimed Security	19	15.00 per unclmd wd	\$ 285.00	0%	\$ -	\$ 285.00
222	Dropped COD	3	2.00 per dropped	\$ 6.00	0%	\$ -	\$ 6.00
							\$ 23,700.00

Withdrawals-by-transfer

345	WT						
Total WT Original Transactions							
345.1	Corporate Stock Transfer Original Transactions						
345.11	CCF	11,520	9.50 per assignment	\$ 109,440.00	0%	\$ -	\$ 109,440.00
345.12	PTS	6,594	9.50 per assignment	\$ 62,643.00	0%	\$ -	\$ 62,643.00
345.13	DMD	26,617	9.50 per assignment	\$ 252,861.50	0%	\$ -	\$ 252,861.50
345.14	Paper	195	9.50 per assignment	\$ 1,852.50	0%	\$ -	\$ 1,852.50
345.2	Corporate Bond Transfer Original Transactions						
345.21	CCF	16	9.50 per assignment	\$ 152.00	0%	\$ -	\$ 152.00
345.22	PTS	7	9.50 per assignment	\$ 66.50	0%	\$ -	\$ 66.50
345.23	DMD	71	9.50 per assignment	\$ 674.50	0%	\$ -	\$ 674.50
345.24	Paper	-	9.50 per assignment	\$ -	0%	\$ -	\$ -
345.3	Registered Muni Transfer Original Transactions						
345.31	CCF	329	9.50 per assignment	\$ 3,125.50	0%	\$ -	\$ 3,125.50
345.32	PTS	166	9.50 per assignment	\$ 1,577.00	0%	\$ -	\$ 1,577.00
345.33	DMD	747	9.50 per assignment	\$ 7,096.50	0%	\$ -	\$ 7,096.50
345.34	Paper	-	9.50 per assignment	\$ -	0%	\$ -	\$ -
		<u>46,262</u>					
Total WT Transactions							
		<u>46,262</u>					
422	Direct mail of WT by DTC	26,331	3.00 per assignment	\$ 78,993.00	0%	\$ -	\$ 78,993.00
342	Direct mail by Transfer Agent						
Total Direct mail by Transfer Agent Original Transactions							
342.01	Corporate Stock Transfer	15,884	5.00 per assignment	\$ 79,420.00	0%	\$ -	\$ 79,420.00
342.02	Corporate Bond Transfer	3,456	5.00 per assignment	\$ 17,280.00	0%	\$ -	\$ 17,280.00
342.03	Registered Muni Transfer	12,876	5.00 per assignment	\$ 64,380.00	0%	\$ -	\$ 64,380.00
		<u>32,216</u>					
Total Direct mail by Transfer Agent Subsequent Transactions							
342.11	Corporate Stock Transfer	2	5.00 per assignment	\$ 10.00	0%	\$ -	\$ 10.00
		<u>2</u>					
Total Direct mail by Transfer Agent Transactions							
		<u>32,218</u>					
Hold and Bust				\$ -	0%	\$ -	
114	Assignment Held by Dep.	8,862	1.00 per item	\$ 8,862.00	0%	\$ -	\$ 8,862.00
343	Assignment Cancelled in Transfer	278	1.54 per item	\$ 428.12	0%	\$ -	\$ 428.12
359	Assignment Cancelled in Hold Status	139	1.28 per item	\$ 177.92	0%	\$ -	\$ 177.92



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The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
123	Return of DMD Material	173	25.00 per return	\$ 4,325.00	0%	\$ -	\$ 4,325.00
243	Rush Transfer						
	Total Rush Transfer Original Transactions						
243.01	Corporate Stock Transfer	257	65.00 per assignment	\$ 16,705.00	0%	\$ -	\$ 16,705.00
		257					
	Total Rush Transfer Subsequent Transactions						
243.03	Registered Muni Transfer	4	65.00 per assignment	\$ 260.00	0%	\$ -	\$ 260.00
		4					
	Total Rush Transfer Transactions	261					
403	Withdrawal of FAST Transfer Agent						
	Total Withdrawal of FAST Transfer Agent Original Transactions						
403.01	Corporate Stock Transfer	25,911	1.50 per withdrawal	\$ 38,866.50	0%	\$ -	\$ 38,866.50
403.02	Corporate Bond Transfer	5,671	1.50 per withdrawal	\$ 8,506.50	0%	\$ -	\$ 8,506.50
403.03	Registered Muni Transfer	153	1.50 per withdrawal	\$ 229.50	0%	\$ -	\$ 229.50
		31,735					
	Total Withdrawal of FAST Transfer Agent Transactions	31,735					

\$ 757,932.54

Total Withdrawal Services: \$ 848,458.13

Total Custody and Asset Servicing: \$ 11,502,766.34

Failure-to-Settle Charges

Failure-to-Settle Charges							
Participant Net Debit							
537	First 5 Million	-	2% per participant	\$ -	\$ -	\$ -	\$ -
537	6-25 Million	-	1.50% per participant	\$ -	\$ -	\$ -	\$ -
537	25-75 Million	-	1% per participant	\$ -	\$ -	\$ -	\$ -
537	More than 75 Million	-	0.50% per participant	\$ -	\$ -	\$ -	\$ -
	Flat Fee Chart	-		\$ -	\$ -	\$ -	\$ -
Total Failure-to-Settle Charges:							\$ -

Forms

Forms							
538	Forms Provided by DTC	-		\$ -	\$ -	\$ -	\$ -
Total Forms:							\$ -

Global Tax Services

Domestic Tax Reporting Service (DTax)							
176	DTax via CCF	-	7500.00 per year	\$ -	0%	\$ -	\$ -
418	DTax via PTS	473	15.00 per CUSIP/p.d.	\$ 7,095.00	0%	\$ -	\$ 7,095.00
415	DTax via the Web	-	5000.00 per year	\$ -	0%	\$ -	\$ -
Total Domestic Tax Reporting Service (DTax):							\$ 7,095.00



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Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
DTC TaxInfo							
277	DTC TaxInfo Database Via PTS func. EDS	4,476	1.00 per inquiry	\$ 4,476.00	0%	\$ -	\$ 4,476.00
Total DTC TaxInfo:							\$ 4,476.00

DTC TaxRelief							
432	DTC TaxRelief via PTS func. EDS						
432.01	Forigin Tax Service	-	0.00	\$ -	0%	\$ -	\$ -
432.02	Forigin Tax (Dept)	-	0.00	\$ -	0%	\$ -	\$ -
432.03	US Witholding Annual Minimum	-	0.01	\$ -	0%	\$ -	\$ -
432.04	Forigin Tax Reclaim	-	0.00	\$ -	0%	\$ -	\$ -
432.05	Relief from For. Country Witholding	-	varies	\$ 556,344.55	0%	\$ -	\$ 556,344.55
432, 366	Post-Payable Date Relief	-	0.01	\$ -	0%	\$ -	\$ -
431	Manual Adj. After Payable Date	1	100.00 per adjustment	\$ 100.00	0%	\$ -	\$ 100.00
346	Direct Payment Service	-	27.00 per instruction	\$ -	0%	\$ -	\$ -
346	Foreign Currency Payment	492	27.00 per instruction	\$ 13,284.00	0%	\$ -	\$ 13,284.00
Total DTC TaxRelief:							\$ 569,728.55

US Tax Witholding Service							
541	Annual Fee	-	5000.00 minimum amt.	\$ -	0%	\$ -	\$ -
299	Per Monthly Charge	51	150.00 per account	\$ 7,650.00	0%	\$ -	\$ 7,650.00
300	Post-Payable Adjustment	70	38.00 per adjustment	\$ 2,660.00	0%	\$ -	\$ 2,660.00
298	Instruction to Withhold at Spec. Rate	10,493	1.80 per instruction	\$ 18,887.40	0%	\$ -	\$ 18,887.40
542	Prep of Form 1042-S	-	200.00 per form	\$ -	0%	\$ -	\$ -
Total US Tax Witholding Service:							\$ 29,197.40

Total Global Tax Services: \$ 610,496.95

Participant Output Services

Output Services							
CCF Output Transmission							
543	\$0.12 Per Transmission	-		\$ -	0%	\$ -	\$ -
544	\$7.00 Per Transmission; No Minimum Fee	-		\$ -	0%	\$ -	\$ -
545	\$7.00 Per Transmission; \$120 Minimum Fee	-		\$ -	0%	\$ -	\$ -
546	\$7.00 Per Transmission; \$32 Minimum Fee	-		\$ -	0%	\$ -	\$ -
547	\$7.00 Per Transmission; \$50 Min. per monthly	-		\$ -	0%	\$ -	\$ -
548	\$7.00 Per Transmission; \$55 Minimum Fee	-		\$ -	0%	\$ -	\$ -
549	\$7.00 Per Transmission; \$62 Minimum Fee	-		\$ -	0%	\$ -	\$ -
550	\$7.00 Per Transmission; \$90 Minimum Fee	-		\$ -	0%	\$ -	\$ -
551	\$7.00 Per Transmission; \$93 Minimum Fee	-		\$ -	0%	\$ -	\$ -
552	\$15 Per Transmission	-		\$ -	0%	\$ -	\$ -
553	\$48 Per Transmission	-		\$ -	0%	\$ -	\$ -
554	\$50 Per Transmission	-		\$ -	0%	\$ -	\$ -
555	\$138 Per Transmission	-		\$ -	0%	\$ -	\$ -
556	\$140 Per Transmission	-		\$ -	0%	\$ -	\$ -
557	\$1,500 Annual Subscription	-		\$ -	0%	\$ -	\$ -
558	\$9,000 Annual Subscription	-		\$ -	0%	\$ -	\$ -



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							\$ -

Legal Notices

313	Legal Notices via PTS func. LENP						
313.01	Legal Notice Order	76,599	0.48 per page	\$ 36,767.52	0%	\$ -	\$ 36,767.52
313.02	Legal Notice Cancel	321	0.48 per page	\$ 154.08	0%	\$ -	\$ 154.08
							\$ 36,921.60

Settlement Reports

223	Dropped Deliveries, CODs, Cash Div. Rep.						
223.01	DO Drop Report	466	30.00 per mo per rpt	\$ 13,980.00	0%	\$ -	\$ 13,980.00
223.02	COD Drop Report	2	30.00 per mo per rpt	\$ 60.00	0%	\$ -	\$ 60.00
223.03	Cash Set Report	521	30.00 per mo per rpt	\$ 15,630.00	0%	\$ -	\$ 15,630.00
223.04	MDH DO Drop Report	328	30.00 per mo per rpt	\$ 9,840.00	0%	\$ -	\$ 9,840.00
224	Additional Line Item						
224.01	DO Drop Report Additional Line	367,944	0.10 per mo per rpt	\$ 36,794.40	0%	\$ -	\$ 36,794.40
224.02	COD Drop Report Additional line	3	0.10 per mo per rpt	\$ 0.30	0%	\$ -	\$ 0.30
224.03	Cash Set Active-Prior Additional Line		0.10 per mo per rpt	\$ -	0%	\$ -	\$ -
224.04	MDH DO Drop Activity Additional Line	777,730	0.10 per mo per rpt	\$ 77,773.00	0%	\$ -	\$ 77,773.00
							\$ 154,077.70

Tape Cartridge Output

575	\$150 Per Request						
575.01	Master File-Asset/Mortgage	-	150.00	\$ -	0%	\$ -	\$ -
575.02	Master File-Bond/Derivative	-	150.00	\$ -	0%	\$ -	\$ -
575.03	Master File-Equity Type	-	150.00	\$ -	0%	\$ -	\$ -
575.04	Master File-Government	-	150.00	\$ -	0%	\$ -	\$ -
575.05	Master File-MMI	-	150.00	\$ -	0%	\$ -	\$ -
576	\$300 Per Request	-	300.00	\$ -	0%	\$ -	\$ -
							\$ -

Total Output Services: \$ 190,999.30

Hard Copy Reports

157	Daily Pos. of Statement by CUSIP						
157.01	POS Standard Req	1,170	20.00 per hard copy	\$ 23,400.00	0%	\$ -	\$ 23,400.00
157.02	POS Reprint	67	20.00 per hard copy	\$ 1,340.00	0%	\$ -	\$ 1,340.00
134	Daily Activity Statement	-		\$ -	0%	\$ -	\$ -
134.01	Activity Reprint	4	20.00 per hard copy	\$ 80.00	0%	\$ -	\$ 80.00
134.02	Report Request		20.00 per hard copy	\$ 2,000.00	0%	\$ -	\$ 2,000.00
Settlement Statement				\$ -	0%	\$ -	\$ -
255	Daily Report						
255.01	Settlement Statement	1,232	15.00 per hard copy	\$ 18,480.00	0%	\$ -	\$ 18,480.00
255.02	Settl. Statement	2	165.00 per hard copy	\$ 330.00	0%	\$ -	\$ 330.00
259	Reprint						
259.01	POS Reprint	67	20.00 per hard copy	\$ 1,340.00	0%	\$ -	\$ 1,340.00
259.02	Activity Reprint	4	20.00 per hard copy	\$ 80.00	0%	\$ -	\$ 80.00
							Total Hard Copy Reports: \$ 26,820.00



Customer Name: John Doe Company
Customer Address: 100 Main Street
 Anytown NY 10000
Customer Email: johndoe.company@email.com

Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
Network Services							
Participant Terminal System							
559	Lease of PTS Equipment	-		\$ -	0%	\$ -	\$ -
560	Lease of Add'l CRT Terminal	-		\$ -	0%	\$ -	\$ -
561	Lease of Add'l Printer	-		\$ -	0%	\$ -	\$ -
562	Lease of Router	-		\$ -	0%	\$ -	\$ -
Use of Dial Backup (ISDN)							
563	ISDN Equip and Assoc. Lines	-		\$ -	0%	\$ -	\$ -
564	SecureID Access						
564.01	Defender Access	-	55.00 per month acc	\$ -	0%	\$ -	\$ -
564.02	SecureID Access Fee	297	55.00 per month acc	\$ 16,335.00	0%	\$ -	\$ 16,335.00
Installation Charge							
565	Dedicated and Backup in NYC	-	varies	\$ -	0%	\$ -	\$ -
566	Dedicated and Backup outside NYC	-	varies	\$ -	0%	\$ -	\$ -
567	Basic PTS Configuration	-	varies	\$ 320.00	0%	\$ -	\$ 320.00
568	Add'l CRT Terminal	-	varies	\$ 320.00	0%	\$ -	\$ 320.00
587	Add'l Printer	-	varies	\$ 320.00	0%	\$ -	\$ 320.00
569	Modem and Router	-	varies	\$ 630.00	0%	\$ -	\$ 630.00
570	Shipping of Equipment	-		\$ -	0%	\$ -	\$ -
570.01	Equipment Pickup/C and P	-	varies	\$ 5,225.00	0%	\$ -	\$ 5,225.00
570.02	Equipment (Post/Ship)	-	varies	\$ 800.00	0%	\$ -	\$ 800.00
571	On-site Training by DTC	-	varies	\$ -	0%	\$ -	\$ -
344	Inquiry, Unsolicited Msg, MDH Msg, Msg, or Ticket Reprint						
344.01	MDH Messages	18,718,601	0.10 per inq/msg	\$ 1,871,860.10	0%	\$ -	\$ 1,871,860.10
344.02	Unsolicited Message	1,907,909	0.10 per inq/msg	\$ 190,790.90	0%	\$ -	\$ 190,790.90
344.03	Messages	3,286,248	0.10 per inq/msg	\$ 328,624.80	0%	\$ -	\$ 328,624.80
344.04	Inquiries	11,982,431	0.10 per inq/msg	\$ 1,198,243.10	0%	\$ -	\$ 1,198,243.10
344.05	Reprint	33,827	0.10 per inq/msg	\$ 3,382.70	0%	\$ -	\$ 3,382.70
356	GWIZ, Orig Issuance Info	158,400	0.25 per inquiry	\$ 39,600.00	0%	\$ -	\$ 39,600.00
357	GWIZ, DTC Processing Info	150,770	0.20 per inquiry	\$ 30,154.00	0%	\$ -	\$ 30,154.00
172	Preupdate Edit	568,215	0.10 per edit	\$ 56,821.50	0%	\$ -	\$ 56,821.50
141	Broadcast Msg	2,801	0.18 per 300 ch msg	\$ 504.18	0%	\$ -	\$ 504.18
Participant Exchange Service (PEX)							
151	Transmit Form for Buy-in Notice	189,040	0.34 per form del/rec	\$ 64,273.60	0%	\$ -	\$ 64,273.60
322	Transmit IVOR Msg	368	0.18 per message	\$ 66.24	0%	\$ -	\$ 66.24
							\$ 3,808,271.12
Mainframe Dual Host and Computer-to-Computer Facility Lines							
Router and Related Equipment				\$ -	0%	\$ -	\$ -
572	Access fee (up to 56 KBps)	-		\$ -	0%	\$ -	\$ -
573	Access fee (128 KBps to T1)	-		\$ -	0%	\$ -	\$ -
574	Spare Router	-		\$ -	0%	\$ -	\$ -
							\$ -

Total Network Services: \$ 3,808,271.12

Total Participant Output Services: \$ 4,026,090.42



Customer Name: John Doe Company
Customer Address: 100 Main Street
 Anytown NY 10000
Customer Email: johndoe.company@email.com

Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
Settlement Services							
Book-Entry Delivery, Excluding MMIs							
148	Registered Issue, Prior Night Cycle						
Total Registered Issue, Prior Night Cycle Original Transactions							
148.1	Corp. Stock Registered Issue, Prior Night Cycle Original Transactions						
148.11	PTS Night/Deliver	36,703	0.17 per item	\$ 6,239.51	0%	\$ -	\$ 6,239.51
148.12	MDH Night/Deliver	341,500	0.17 per item	\$ 58,055.00	0%	\$ -	\$ 58,055.00
148.13	CCF Night/Deliver	353,377	0.17 per item	\$ 60,074.09	0%	\$ -	\$ 60,074.09
148.14	Stock Ln (DEL/RT)-Night	51,878	0.17 per item	\$ 8,819.26	0%	\$ -	\$ 8,819.26
148.2	Corp. Bond Registered Issue, Prior Night Cycle Original Transactions						
148.21	PTS Night/Deliver	2,516	0.17 per item	\$ 427.72	0%	\$ -	\$ 427.72
148.22	MDH Night/Deliver	60,121	0.17 per item	\$ 10,220.57	0%	\$ -	\$ 10,220.57
148.23	CCF Night/Deliver	43,840	0.17 per item	\$ 7,452.80	0%	\$ -	\$ 7,452.80
148.24	Bond Ln (DEL/RT)-Night	210	0.17 per item	\$ 35.70	0%	\$ -	\$ 35.70
148.3	Reg Muni Registered Issue, Prior Night Cycle Original Transactions						
148.31	PTS Night/Deliver	6,804	0.17 per item	\$ 1,156.68	0%	\$ -	\$ 1,156.68
148.32	MDH Night/Deliver	7,798	0.17 per item	\$ 1,325.66	0%	\$ -	\$ 1,325.66
148.33	CCF Night/Deliver	36,216	0.17 per item	\$ 6,156.72	0%	\$ -	\$ 6,156.72
		940,963					
Total Registered Issue, Prior Night Cycle Transactions							
		940,963					
147	Registered Issue, Opening in Day						
Total Registered Issue, Opening in Day Original Transactions							
147.1	Corp. Stock Registered Issue, Opening in Day Original Transactions						
147.11	PTS Day/Deliver	180,152	0.45 per item	\$ 81,068.40	0%	\$ -	\$ 81,068.40
147.12	CCF Day/Deliver	509,252	0.45 per item	\$ 229,163.40	0%	\$ -	\$ 229,163.40
147.13	MDH Day/Deliver	1,449,257	0.45 per item	\$ 652,165.65	0%	\$ -	\$ 652,165.65
147.14	Stock Ln (DEL/RT)-Day	2,278,707	0.45 per item	\$ 1,025,418.15	0%	\$ -	\$ 1,025,418.15
147.2	Corp. Bond Registered Issue, Opening in Day Original Transactions						
147.21	PTS Day/Deliver	31,329	0.45 per item	\$ 14,098.05	0%	\$ -	\$ 14,098.05
147.22	CCF Day/Deliver	46,870	0.45 per item	\$ 21,091.50	0%	\$ -	\$ 21,091.50
147.23	MDH Day/Deliver	248,820	0.45 per item	\$ 111,969.00	0%	\$ -	\$ 111,969.00
147.24	Bond Ln (DEL/RT)-Day	184,260	0.45 per item	\$ 82,917.00	0%	\$ -	\$ 82,917.00
147.3	Reg Muni Registered Issue, Opening in Day Original Transactions						
147.31	PTS Day/Deliver	23,008	0.45 per item	\$ 10,353.60	0%	\$ -	\$ 10,353.60
147.32	CCF Day/Deliver	49,226	0.45 per item	\$ 22,151.70	0%	\$ -	\$ 22,151.70
147.33	MDH Day/Deliver	140,361	0.45 per item	\$ 63,162.45	0%	\$ -	\$ 63,162.45
147.34	Bond Ln (DEL/RT)-Day	27	0.45 per item	\$ 12.15	0%	\$ -	\$ 12.15
		5,141,269					
Total Registered Issue, Opening in Day Transactions							
		5,141,269					
182	Registered Issue Receives, Regardless of Time						
182.1	Corporate Stock DO						
182.11	Rec-CCF, MDH, PTS	2,372,802	0.27 per item	\$ 640,656.54	0%	\$ -	\$ 640,656.54



Customer Name:
Customer Address:
Customer Email:

John Doe Company
100 Main Street
Anytown NY 10000
[johndoe.company@email.com](mailto: johndoe.company@email.com)

Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
182.12	Stock Ln (Rec)	2,330,585	0.27 per item	\$ 629,257.95	0%	\$ -	\$ 629,257.95
182.2	Corporate Bond DO						
182.21	Rec-CCF, MDH, PTS	422,759	0.27 per item	\$ 114,144.93	0%	\$ -	\$ 114,144.93
182.22	Bond Ln (Rec)	184,470	0.27 per item	\$ 49,806.90	0%	\$ -	\$ 49,806.90
182.3	Registered Muni DO						
182.31	Rec-CCF, MDH, PTS	272,098	0.27 per item	\$ 73,466.46	0%	\$ -	\$ 73,466.46
182.32	Bond Ln (Rec)	27	0.27 per item	\$ 7.29	0%	\$ -	\$ 7.29
396	Institutional Delivery						
	Total Institutional Delivery Original Transactions						
396.01	Corporate Stock DO	4,227,270	0.16 per delivery	\$ 676,363.20	0%	\$ -	\$ 676,363.20
396.02	Corporate Bond DO	131,939	0.16 per delivery	\$ 21,110.24	0%	\$ -	\$ 21,110.24
396.03	Registered Muni DO	3,504	0.16 per delivery	\$ 560.64	0%	\$ -	\$ 560.64
396.04	Bearer Muni DO	394	0.16 per delivery	\$ 63.04	0%	\$ -	\$ 63.04
		4,363,107					
	Total Institutional Delivery Subsequent Transactions						
396.11	Corporate Stock DO	4,227,270	0.16 per delivery	\$ 676,363.20	0%	\$ -	\$ 676,363.20
396.21	Corporate Bond DO	131,939	0.16 per delivery	\$ 21,110.24	0%	\$ -	\$ 21,110.24
396.31	Registered Muni DO	3,504	0.16 per delivery	\$ 560.64	0%	\$ -	\$ 560.64
396.41	Bearer Muni DO	394	0.16 per delivery	\$ 63.04	0%	\$ -	\$ 63.04
		4,363,107					
	Total Institutionally Delivery Transactions	8,726,214					
112	Reclaim Receive or Delivery						
112.1	Corporate Stock DO						
112.11	Non-MMI Reclaim Delivery	181,294	0.50 per rec/del	\$ 90,647.00	0%	\$ -	\$ 90,647.00
112.12	Non-MMI Reclaim Receive	179,369	0.50 per rec/del	\$ 89,684.50	0%	\$ -	\$ 89,684.50
112.2	Corporate Bond DO						
112.21	Non-MMI Reclaim Delivery	51,848	0.50 per rec/del	\$ 25,924.00	0%	\$ -	\$ 25,924.00
112.22	Non-MMI Reclaim Receive	51,603	0.50 per rec/del	\$ 25,801.50	0%	\$ -	\$ 25,801.50
112.3	Registered Muni DO						
112.31	Non-MMI Reclaim Delivery	15,322	0.50 per rec/del	\$ 7,661.00	0%	\$ -	\$ 7,661.00
112.32	Non-MMI Reclaim Receive	15,225	0.50 per rec/del	\$ 7,612.50	0%	\$ -	\$ 7,612.50
112.4	Bearer Muni DO						
112.41	Non-MMI Reclaim Delivery	119	0.50 per rec/del	\$ 59.50	0%	\$ -	\$ 59.50
112.42	Non-MMI Reclaim Receive	117	0.50 per rec/del	\$ 58.50	0%	\$ -	\$ 58.50
118	ACATS DO						
118.01	Corporate Stock DO	4,958	0.17 per item	\$ 842.86	0%	\$ -	\$ 842.86
118.02	Corporate Bond DO	1,727	0.17 per item	\$ 293.59	0%	\$ -	\$ 293.59
118.03	Reg Muni DO	4,966	0.17 per item	\$ 844.22	0%	\$ -	\$ 844.22
237	Submission of DO between Participant Accounts						
	Total Submission of DO between Participant Accounts Original Transactions						
237.01	Corporate Stock DO	549,035	0.40 per transaction	\$ 219,614.00	0%	\$ -	\$ 219,614.00
237.02	Corporate Bond DO	81,509	0.40 per transaction	\$ 32,603.60	0%	\$ -	\$ 32,603.60
237.03	Reg Muni DO	34,029	0.40 per transaction	\$ 13,611.60	0%	\$ -	\$ 13,611.60
237.04	Bearer Muni DO	353	0.40 per transaction	\$ 141.20	0%	\$ -	\$ 141.20
		664,926					



Customer Name: John Doe Company
Customer Address: 100 Main Street
 Anytown NY 10000
Customer Email: johndoe.company@email.com

Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
Total Submission of DO between Participant Accounts Transactions		664,926					
410	Cancellation of Pending DO						
410.01	Corporate Stock DO	51,159	0.45 per item	\$ 23,021.55	0%	\$ -	\$ 23,021.55
410.02	Corporate Bond DO	9,409	0.45 per item	\$ 4,234.05	0%	\$ -	\$ 4,234.05
410.03	Reg Muni DO	1,933	0.45 per item	\$ 869.85	0%	\$ -	\$ 869.85
410.04	Bearer Muni DO	4	0.45 per item	\$ 1.80	0%	\$ -	\$ 1.80
372	Dropped DO Not Completed						
372.01	Corporate Stock DO	279,804	0.44 per item	\$ 123,113.76	0%	\$ -	\$ 123,113.76
372.02	Reg Muni DO	11,545	0.44 per item	\$ 5,079.80	0%	\$ -	\$ 5,079.80
372.03	Insufficient Collateral, Deliver	-	0.44 per item	\$ -	0%	\$ -	\$ -
372.04	Insufficient Collateral or Net Debit Cap, Rec	91,186	0.44 per item	\$ 40,121.84	0%	\$ -	\$ 40,121.84
401	Deliver or Receive of Item	190	3.65 per item del/rec	\$ 693.50	0%	\$ -	\$ 693.50
292	Hold or Release of Pending DO	30,872	0.45 per item	\$ 13,892.40	0%	\$ -	\$ 13,892.40
586	Fed DO						
586.01	FED DO	238	2.25 per item del/rec	\$ 535.50	0%	\$ -	\$ 535.50
586.02	FED DO REC	377	2.25 per item del/rec	\$ 848.25	0%	\$ -	\$ 848.25
Total Book-Entry Delivery, Excluding MMIs:							\$ 6,104,880.94

Book-Entry Delivery through CNS

390	CNS Delivery						
Total CNS Delivery Original Transactions							
390.01	Corporate Stock DO	3,008,805	0.16 per item del/rec	\$ 481,408.80	0%	\$ -	\$ 481,408.80
390.02	Corporate Bond DO	82,714	0.16 per item del/rec	\$ 13,234.24	0%	\$ -	\$ 13,234.24
390.03	Registered Muni DO	67,042	0.16 per item del/rec	\$ 10,726.72	0%	\$ -	\$ 10,726.72
		<u>3,158,561</u>					
Total CNS Delivery Subsequent Transactions							
390.1	Corporate Stock DO Subsequent Transactions						
390.12	CNS Stock Loan	312,712	0.16 per item del/rec	\$ 50,033.92	0%	\$ -	\$ 50,033.92
390.13	CNS Stock Ln Rec	-	0.16 per item del/rec	\$ -	0%	\$ -	\$ -
390.15	CNS-Received	5,103,433	0.16 per item del/rec	\$ 816,549.28	0%	\$ -	\$ 816,549.28
390.16	CNS (NSCC)	5,372,585	0.16 per item del/rec	\$ 859,613.60	0%	\$ -	\$ 859,613.60
390.17	Rec-CNS (NSCC)	4,077,486	0.16 per item del/rec	\$ 652,397.76	0%	\$ -	\$ 652,397.76
390.22	Corporate Bond DO Subsequent Transactions						
390.23	CNS Stock Loan	16,652	0.16 per item del/rec	\$ 2,664.32	0%	\$ -	\$ 2,664.32
390.24	CNS-Received	150,036	0.16 per item del/rec	\$ 24,005.76	0%	\$ -	\$ 24,005.76
390.31	Registered Muni DO Subsequent Transactions						
390.32	CNS Stock Loan	4	0.16 per item del/rec	\$ 0.64	0%	\$ -	\$ 0.64
390.33	CNS-Received	86,767	0.16 per item del/rec	\$ 13,882.72	0%	\$ -	\$ 13,882.72
		<u>15,119,675</u>					
Total CNS Delivery Transactions		<u>3,245,328</u>					
Total Book-Entry Delivery through CNS:							\$ 2,924,517.76

Collateral Loans



Customer Name: John Doe Company
Customer Address: 100 Main Street
 Anytown NY 10000
Customer Email: johndoe.company@email.com

Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
399	Security Pledged, Released, or Substituted						
	Total Security Pledged, Released or Substituted Original Transactions						
399.01	Corporate Stock Loan	504,991	0.14 per line item	\$ 70,698.74	0%	\$ -	\$ 70,698.74
		504,991					
	Total Security Pledged, Released or Substituted Transactions	504,991					
305	Security Demanded by Pledgee						
305.01	Corporate Stock Loan	-	0.14 per line item	\$ -	0%	\$ -	\$ -
305.02	Corporate Bond Loan	-	0.14 per line item	\$ -	0%	\$ -	\$ -
305.03	Registered Muni Loan	-	0.14 per line item	\$ -	0%	\$ -	\$ -
305.04	Bearer Muni Loan	-	0.14 per line item	\$ -	0%	\$ -	\$ -
102	Valued Pledge or Release						
	Total Valued Pledge or Release Original Transactions						
102.01	Corporate Stock Loan	52	0.83 per line item	\$ 43.16	0%	\$ -	\$ 43.16
102.02	Corporate Bond Loan	-	0.83 per line item	\$ -	0%	\$ -	\$ -
		52					
	Total Valued Pledge or Release Subsequent Transactions						
102.03	Registered Muni Loan	34	0.83 per line item	\$ 28.22	0%	\$ -	\$ 28.22
		34					
	Total Valued Pledge or Release Transactions	86					
211	Det. Daily Report of Bank or Broker						
211.01	Pledgee Position Cum Line	76,229	0.03 per line item	\$ 2,286.87	0%	\$ -	\$ 2,286.87
211.02	Pledger Position Cum Line	127,965	0.03 per line item	\$ 3,838.95	0%	\$ -	\$ 3,838.95
204	Ind. Daily copy Collateral Loan Report						
204.01	Pledgee Position Reprint	9	25.00 per copy	\$ 225.00	0%	\$ -	\$ 225.00
204.02	Pledgee Position	7	25.00 per copy	\$ 175.00	0%	\$ -	\$ 175.00
204.03	Pledger Position Reprint	8	25.00 per copy	\$ 200.00	0%	\$ -	\$ 200.00
204.04	Pledger Position-Special	83	25.00 per copy	\$ 2,075.00	0%	\$ -	\$ 2,075.00
399	Option Security Pledged, Released, or Substituted via PTS						
	Total Option Security Pledged, Released or Substituted via PTS Original Transactions						
399.01	Corporate Bond Loan	407,985	0.14 per line item	\$ 57,117.90	0%	\$ -	\$ 57,117.90
399.03	Registered Muni Loan	92,853	0.14 per line item	\$ 12,999.42	0%	\$ -	\$ 12,999.42
399.04	Bearer Muni Loan	2,208	0.14 per line item	\$ 309.12	0%	\$ -	\$ 309.12
		503,046					
	Total Option Security Pledged, Released or Substituted via PTS Transactions	503,046					

Total Collateral Loans: \$ 149,997.38

Intra-account transfer

328	Transfer of Position						
328.01	Corporate Stock DO	927	0.13 per transfer	\$ 120.51	0%	\$ -	\$ 120.51
328.02	Corporate Bond DO	451	0.13 per transfer	\$ 58.63	0%	\$ -	\$ 58.63
328.03	Registered Muni DO	55	0.13 per transfer	\$ 7.15	0%	\$ -	\$ 7.15



Customer Name:
Customer Address:
Customer Email:

John Doe Company
100 Main Street
Anytown NY 10000
[johndoe.company@email.com](mailto: johndoe.company@email.com)

Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
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Total Intra-account Transfer: \$ **186.29**

Money Market Instruments (MMI) by Book Entry Only

392	DO						
	Total DO Original Transactions						
392.2	Corporate Bond DO Original Transactions						
392.21	MMI Bond Loan (Del/Rt)	18,667	0.70 chrg del & rec	\$ 13,066.90	0%	\$ -	\$ 13,066.90
392.22	MMI DO (Del)	207,674	0.70 chrg del & rec	\$ 145,371.80	0%	\$ -	\$ 145,371.80
392.3	Registered Muni DO Original Transactions						
392.31	MMI DO (Del)	1,170	0.70 chrg del & rec	\$ 819.00	0%	\$ -	\$ 819.00
		<u>227,511</u>					
	Total DO Subsequent Transactions						
392.23	Corporate Bond DO Subsequent Transactions						
392.24	MMI Bond Loan (Rec)	16,003	0.70 chrg del & rec	\$ 11,202.10	0%	\$ -	\$ 11,202.10
392.25	MMI DO (Rec)	215,545	0.70 chrg del & rec	\$ 150,881.50	0%	\$ -	\$ 150,881.50
392.32	Registered Muni DO Subsequent Transactions						
392.33	MMI DO (Rec)	1,170	0.70 chrg del & rec	\$ 819.00	0%	\$ -	\$ 819.00
		<u>232,718</u>					
	Total DO Transactions	<u>460,229</u>					
180	Fee Assessment for BMA						
180.01	BMA Payment Tier 1	39,871	0.20 per item	\$ 7,974.20	0%	\$ -	\$ 7,974.20
180.02	BMA Payment Tier 2	9,610	0.20 per item	\$ 1,922.00	0%	\$ -	\$ 1,922.00
385	Maturity or Reorganization Presentment						
	Total Maturity or Reorganization Presentment Original Transactions						
385.02	Corporate Bond DO	82,325	0.70 per item del/rec	\$ 57,627.50	0%	\$ -	\$ 57,627.50
385.03	Registered Muni DO	1,112	0.70 per item del/rec	\$ 778.40	0%	\$ -	\$ 778.40
		<u>83,437</u>					
	Total Maturity or Reorganization Presentment Subsequent Transactions						
385.21	Corporate Bond DO	85,704	0.70 per item del/rec	\$ 59,992.80	0%	\$ -	\$ 59,992.80
385.31	Registered Muni DO	1,145	0.70 per item del/rec	\$ 801.50	0%	\$ -	\$ 801.50
		<u>86,849</u>					
	Total Maturity or Reorganization Presentment Transactions	<u>170,286</u>					
387	Issuance instruction						
387.02	Corporate Bond DO	81,365	0.70 per instruction	\$ 56,955.50	0%	\$ -	\$ 56,955.50
387.03	Registered Muni DO	1,195	0.70 per instruction	\$ 836.50	0%	\$ -	\$ 836.50
177	PIM	209,013	0.18 per message	\$ 37,622.34	0%	\$ -	\$ 37,622.34
287	Turnaround DO						
	Total Turnaround DO Original Transactions						
287.02	Corporate Bond DO	580	0.70 per turnaround	\$ 406.00	0%	\$ -	\$ 406.00
		<u>580</u>					
	Total Turnaround DO Subsequent Transactions						
287.21	Corporate Bond DO	80,726	0.70 per turnaround	\$ 56,508.20	0%	\$ -	\$ 56,508.20
287.3	Registered Muni DO						



Customer Name:
Customer Address:
Customer Email:

John Doe Company
100 Main Street
Anytown NY 10000
johndoe.company@email.com

Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
287.31	Turnaounrd DO	88	0.70 per turnaround	\$ 61.60	0%	\$ -	\$ 61.60
287.32	Turnaround DO (Rec)	88	0.70 per turnaround	\$ 61.60	0%	\$ -	\$ 61.60
		<u>80,902</u>					
	Total Turnaround DO Transactions	<u>81,482</u>					
388	Issuance Deposit						
	Total Issuance Deposit Original Transactions						
388.02	Corporate Bond DO	80,726	0.70 per item	\$ 56,508.20	0%	\$ -	\$ 56,508.20
388.03	Registered Muni DO	1,192	0.70 per item	\$ 834.40	0%	\$ -	\$ 834.40
		<u>81,918</u>					
	Total Issuance Deposit Transactions	<u>81,918</u>					
388	MMI Issuance receiver						
388.02	Corporate Bond DO	80,726	0.70 per item	\$ 56,508.20	0%	\$ -	\$ 56,508.20
388.03	Registered Muni DO	1,192	0.70 per item	\$ 834.40	0%	\$ -	\$ 834.40
				Total Money Market Instruments (MMI) by Book Entry Only:			\$ 718,393.64

Municipal Bearer Bond Service

	DO			\$ -	0%	\$ -	
174	Deliver						
	Total DO Original Transactions						
174.4	Bearer Muni DO Original Transactions						
174.41	PTS/Deliver	662	0.45 per item del	\$ 297.90	0%	\$ -	\$ 297.90
174.42	MDH/Deliver	1,437	0.45 per item del	\$ 646.65	0%	\$ -	\$ 646.65
		<u>2,099</u>					
	Total DO Transactions	<u>2,099</u>					
183	Receive	3,344	0.27 per item rec	\$ 902.88	0%	\$ -	\$ 902.88
	CNS Delivery			\$ -	0%	\$ -	
390	Deliver						
	Total CNS Delivery Original Transactions						
391.04	Bearer Muni DO	1,403	0.16 per item del	\$ 224.48	0%	\$ -	\$ 224.48
		<u>1,403</u>					
	Total CNS Delivery Transactions	<u>1,403</u>					
390	Receive	1,666	0.16 per item rec	\$ 266.56	0%	\$ -	\$ 266.56
125	ACATS DO	75	0.17 per item	\$ 12.75	0%	\$ -	\$ 12.75
372	Dropped DO	413	0.44 per item	\$ 181.72	0%	\$ -	\$ 181.72
240	DO Btwn Part. In Collateral group	353	0.40 per transaction	\$ 141.20	0%	\$ -	\$ 141.20
410	Hold on Release of Pending DO Recycling	119	0.50 per item canc.	\$ 59.50	0%	\$ -	\$ 59.50
328	Transfer of Pos. Btwn. MA and NA via PTS	1	0.13 per intra act mv	\$ 0.13	0%	\$ -	\$ 0.13
				Total Municipal Bearer Bond Service:			\$ 2,733.77



Customer Name:
Customer Address:
Customer Email:

John Doe Company
100 Main Street
Anytown NY 10000
johndoe.company@email.com

Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
Payment Order Service							
254	Premium Payment Order (PPO)	2,712,476	0.11 per item del.rec	\$ 298,372.36	0%	\$ -	\$ 298,372.36
Total Payment Order Service:							\$ 298,372.36

Receiver Authorized Delivery (RAD)							
	Receiver Authorization	226,645	0.10 per item	\$ 22,664.50	0%	\$ -	\$ 22,664.50
142	Receiver Cancellation	6,171	0.65 per item	\$ 4,011.15	0%	\$ -	\$ 4,011.15
150	Failure of Rec. to Authorize/Cancel	2,071	4.00 per item	\$ 8,284.00	0%	\$ -	\$ 8,284.00
Total Receiver Authorized Delivery (RAD):							\$ 34,959.65

Settlement							
107	Inventory Mgmt Sys. Delivery	-	0.01 per delivery	\$ 52,681.34	0%	\$ -	\$ 52,681.34
Total Settlement:							\$ 52,681.34

Settlement Progress Payment (SPP)							
	Payment or Withdrawal of Payment	1,874	0.70 per pmt/wtd	\$ 1,311.80	0%	\$ -	\$ 1,311.80
Total Settlement Progress Payment (SPP):							\$ 1,311.80

Subaccounting							
272	Partial Segregation movement	-		\$ -	0%	\$ -	\$ -
394	Memo Segregation						
394.01	Corporate Stock Subaccounting	3,627,731	0.09 per request	\$ 326,495.79	0%	\$ -	\$ 326,495.79
394.02	Corporate Bond Subaccounting	373,067	0.09 per request	\$ 33,576.03	0%	\$ -	\$ 33,576.03
394.03	Registered Muni Subaccounting	278,252	0.09 per request	\$ 25,042.68	0%	\$ -	\$ 25,042.68
394.04	Bearer Muni Subaccounting	9,265	0.09 per request	\$ 833.85	0%	\$ -	\$ 833.85
214	Release of Pending delivery Acct	-	0.11 per request	\$ -	0%	\$ -	\$ -
272	Investment Identification						
272.01	Corporate Stock Subaccounting	228,242	0.13 per movement	\$ 29,671.46	0%	\$ -	\$ 29,671.46
272.02	Corporate Bond Subaccounting	9,598	0.13 per movement	\$ 1,247.74	0%	\$ -	\$ 1,247.74
272.03	Registered Muni Subaccounting	5,609	0.13 per movement	\$ 729.17	0%	\$ -	\$ 729.17
272.04	Bearer Muni Subaccounting	152	0.13 per movement	\$ 19.76	0%	\$ -	\$ 19.76
Total Subaccounting:							\$ 417,616.48
Total Settlement Services:							\$ 10,705,651.41

SMART / Track Services							
577	Stock Loan Recall Message	-		\$ -	0%	\$ -	\$ -
Total SMART / Track Services:							\$ -

Training Services							
578	Instructor-led Trg	-	750.00 per student	\$ -	0%	\$ -	\$ -
579	Internet-based Trg	-	250.00 per student	\$ -	0%	\$ -	\$ -
580	E-learning Course	3	75.00 per course	\$ 225.00	0%	\$ -	\$ 225.00
581	E-learning Certification Exam	-	400.00 per exam	\$ -	0%	\$ -	\$ -



Customer Name: John Doe Company
 Customer Address: 100 Main Street
 Anytown NY 10000
 Customer Email: johndoe.company@email.com

Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
Total Training Services:							\$ 225.00

Underwriting Services

Book-Entry-Only Issues							
181	Basic Eligibility						
181.01	Corporate Underwriting	196	275.00 per basic BEO	\$ 53,900.00	0%	\$ -	\$ 53,900.00
181.03	Registered Muni Underwriting	1,616	275.00 per basic BEO	\$ 444,400.00	0%	\$ -	\$ 444,400.00
341	Complex Eligibility	366	400.00 per cmplx BEO	\$ 146,400.00	0%	\$ -	\$ 146,400.00
188	CD	1,827	125.00 per CUSIP	\$ 228,375.00	0%	\$ -	\$ 228,375.00
339	Insured Custodial Receipt	5	90.00 per CUSIP	\$ 450.00	0%	\$ -	\$ 450.00
293	UIT deposit	149	35.00 per CUSIP	\$ 5,215.00	0%	\$ -	\$ 5,215.00
247	SBA Loan Pool	36	90.00 per issue	\$ 3,240.00	0%	\$ -	\$ 3,240.00
Total Book-Entry-Only Issues:							\$ 881,980.00

Certificated Issues

104	Issue w/one CUSIP						
104.1	Stock/Cert-Single	3	750.00 per issue	\$ 2,250.00	0%	\$ -	\$ 2,250.00
104.11	Stock/Cert-Single \$3	668	0.30 per 100,000	\$ 200.40	0%	\$ -	\$ 200.40
104.12	Fast STK/Single Cert	62	750.00 per issue	\$ 46,500.00	0%	\$ -	\$ 46,500.00
104.13	Fast Bond/Single Cert	1	750.00 per issue	\$ 750.00	0%	\$ -	\$ 750.00
104.2	Bond/Cert Single	1	750.00 per issue	\$ 750.00	0%	\$ -	\$ 750.00
104.21	Bond/Cert Single \$3	61	0.30 per 100,000	\$ 18.30	0%	\$ -	\$ 18.30
101	Issue w/more than one CUSIP						
101.2	Corporate Bond/Cert Multi	29	1000.00 per issue	\$ 29,000.00	0%	\$ -	\$ 29,000.00
101.21	Corporate Bond/Cert Multi \$3	1,381	0.30 per 100,000	\$ 414.30	0%	\$ -	\$ 414.30
101.22	Fast Bond/Multi Cert	20	1000.00 per share	\$ 20,000.00	0%	\$ -	\$ 20,000.00
337	Insured Custodial Receipt	68	180.00 per CUSIP #	\$ 12,240.00	0%	\$ -	\$ 12,240.00
Total Certificated Issues:							\$ 112,123.00

Eligibility Surcharges

582	Nonreceipt of Final Docs						
582.1	Corporate Underwriting	-	300.00 aft 10 bus dys	\$ -	0%	\$ -	\$ -
582.11	Nonreceipt of Final Document	221	300.00 aft 10 bus dys	\$ 66,300.00	0%	\$ -	\$ 66,300.00
582.3	Registered Muni Underwriting	-	300.00 aft 10 bus dys	\$ -	0%	\$ -	\$ -
582.31	Nonreceipt of Final Document	482	300.00 aft 10 bus dys	\$ 144,600.00	0%	\$ -	\$ 144,600.00
393	Put Option	20	350.00	\$ 7,000.00	0%	\$ -	\$ 7,000.00
320	Jumbo certificate						
320.1	Corporate Underwriting	-	300.00 per issue	\$ -	0%	\$ -	\$ -
320.11	Jumbo/Temp Cert	28	300.00 per issue	\$ 8,400.00	0%	\$ -	\$ 8,400.00
320.3	Registered Muni Underwriting	-	300.00 per issue	\$ -	0%	\$ -	\$ -
320.31	Jumbo/Temp Cert	2	300.00 per issue	\$ 600.00	0%	\$ -	\$ 600.00
400	Letter of Possession						
400.01	Corporate Underwriting	12	1000.00 per issue	\$ 12,000.00	0%	\$ -	\$ 12,000.00
400.03	Registered Muni Underwriting	1	1000.00 per issue	\$ 1,000.00	0%	\$ -	\$ 1,000.00
163	Submission of LOR in Lieu of BLOR	20	300.00 per letter	\$ 6,000.00	0%	\$ -	\$ 6,000.00
338	CMO	631	50.00 per CUSIP	\$ 31,550.00	0%	\$ -	\$ 31,550.00
265	Assignment of CUSIP by S&P	159	14.00 or at cost	\$ 2,226.00	0%	\$ -	\$ 2,226.00



Customer Name: John Doe Company
 Customer Address: 100 Main Street
 Anytown NY 10000
 Customer Email: johndoe.company@email.com

Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
297	Unnecessary Research	-	50.00 per duplic. req.	\$ -	0%	\$ -	\$ -
Total Eligibility Surcharges:							\$ 279,676.00

Initial Public Offering Tracked Issues							
323	IPO Tracked Issue	22	4975.00 per issue	\$ 109,450.00	0%	\$ -	\$ 109,450.00
Total Initial Public Offering Tracked Issues:							\$ 109,450.00

Late Surcharges							
New Issue when Closing Docs Rec							
436	Two Days Before Closing						
436.01	Corporate Underwriting	-	500.00	\$ -	0%	\$ -	\$ -
436.02	Underwriting	-	500.00	\$ -	0%	\$ -	\$ -
436.03	Registered Muni Underwriting	-	500.00	\$ -	0%	\$ -	\$ -
434	One Day Before Closing						
434.01	Corporate Underwriting	-	2500.00	\$ -	0%	\$ -	\$ -
434.03	Registered Muni Underwriting	-	2500.00	\$ -	0%	\$ -	\$ -
421	Same Day						
421.01	Corporate Underwriting	-	5000.00	\$ -	0%	\$ -	\$ -
421.03	Registered Muni Underwriting	-	5000.00	\$ -	0%	\$ -	\$ -
318	Late Receipt of LOR or BLOR (on closing date)						
318.1	Corporate Underwriting	-	300.00 per issue	\$ -	0%	\$ -	\$ -
318.11	Late Letter of Rep	3	300.00 per issue	\$ 900.00	0%	\$ -	\$ 900.00
318.12	LOR vs. BLOR surcharge	33	300.00 per issue	\$ 9,900.00	0%	\$ -	\$ 9,900.00
318.3	Registered Muni Underwriting	-	300.00 per issue	\$ -	0%	\$ -	\$ -
318.31	Late Letter of Rep	53	300.00 per issue	\$ 15,900.00	0%	\$ -	\$ 15,900.00
231	Late Sub of Insured Cust Rec, CD, UIT, SBA	6	300.00 per issue	\$ 1,800.00	0%	\$ -	\$ 1,800.00
319	Late Closing (after 2:00 p.m. eastern)						
319.01	Corporate Underwriting	20	300.00 per issue	\$ 6,000.00	0%	\$ -	\$ 6,000.00
319.03	Registered Muni Underwriting	11	300.00 per issue	\$ 3,300.00	0%	\$ -	\$ 3,300.00
Total Late Surcharges:							\$ 37,800.00

Limited Underwriting Service							
264	Issue with One CUSIP	-	61.00 per issue	\$ -	0%	\$ -	\$ -
383	Issue with More than One CUSIP	-	131.00 per issue	\$ -	0%	\$ -	\$ -
Total Limited Underwriting Service:							\$ -

Money Market Instruments							
316	Submission of MMI for Eligibility	15	250.00 per prgm elig	\$ 3,750.00	0%	\$ -	\$ 3,750.00
384	Modification to MMI	29	300.00 per CUSIP	\$ 8,700.00	0%	\$ -	\$ 8,700.00
315	Late Filing of MMI	1	300.00 per program	\$ 300.00	0%	\$ -	\$ 300.00
583	IPA Program change	-	250.00 pr IPA prg chng	\$ -	0%	\$ -	\$ -
Total Money Market Instruments:							\$ 12,750.00

PUND/WUN Reduction							
144	SBA Issue	5	18.00 per issue	\$ 90.00	0%	\$ -	\$ 90.00
146	CD						
146.01	WUN Rebate-CDS	22	25.00 per CUSIP	\$ 550.00	0%	\$ -	\$ 550.00
146.02	PUND CD Discount	316	25.00 per CUSIP	\$ 7,900.00	0%	\$ -	\$ 7,900.00
349	Insured Muni or Corp Issue						
349.01	Corporate Underwriting	4	25.00 per CUSIP	\$ 100.00	0%	\$ -	\$ 100.00
349.03	Registered Muni Underwriting	57	25.00 per CUSIP	\$ 1,425.00	0%	\$ -	\$ 1,425.00



Customer Name:
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100 Main Street
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[johndoe.company@email.com](mailto: johndoe.company@email.com)

Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
Municipal or Corporate Issue							
143	Issue with One CUSIP						
143.1	Corporate Underwriting	-	52.00	\$ -	0%	\$ -	\$ -
143.11	WUN Rebate	23	52.00	\$ 1,196.00	0%	\$ -	\$ 1,196.00
143.3	Registered Muni Underwriting	-	52.00	\$ -	0%	\$ -	\$ -
143.31	WUN Rebate	37	52.00	\$ 1,924.00	0%	\$ -	\$ 1,924.00
143.32	PUND Discount	76	52.00	\$ 3,952.00	0%	\$ -	\$ 3,952.00
Issue with More than One CUSIP							
145	Issue with More than One CUSIP						
145.1	Corporate Underwriting	-	107.00	\$ -	0%	\$ -	\$ -
145.11	WUN Rebate	16	107.00	\$ 1,712.00	0%	\$ -	\$ 1,712.00
145.12	PUND Discount	2	107.00	\$ 214.00	0%	\$ -	\$ 214.00
145.3	Registered Muni Underwriting	-	107.00	\$ -	0%	\$ -	\$ -
145.31	WUN Rebate	163	107.00	\$ 17,441.00	0%	\$ -	\$ 17,441.00
145.32	PUND Discount	304	107.00	\$ 32,528.00	0%	\$ -	\$ 32,528.00
Total PUND/WUN Reduction:							\$ 69,032.00
Total Underwriting Services:							\$ 1,502,811.00

Web Fees							
Legal Notice System (LENS)							
LENS (Legal Notices System)							
307	Accessing a Notice	582	5.00 per notice	\$ 2,910.00	0%	\$ -	\$ 2,910.00
308	Subscription	12	1100.00 per mo,prcpt#	\$ 13,200.00	0%	\$ -	\$ 13,200.00
306	E-mailing of Notice	88	5.00 per email form	\$ 440.00	0%	\$ -	\$ 440.00
Total Legal Notice System (LENS):							\$ 16,550.00
Total Web Fees:							\$ 16,550.00

Credits and Adjustments							
Credits							
700	Description of Rebate	-		\$ (21.03)		\$ -	\$ (21.03)
701	Description of Rebate			\$ (32.99)		\$ -	\$ (32.99)
Total Credits:							\$ (54.02)
Adjustments							
800	Description of Adjustment			\$ 325.00		\$ -	\$ 325.00
801	Description of Adjustment			\$ (51.51)		\$ -	\$ (51.51)
Total Adjustments:							\$ 273.49
Total Credits and Adjustments:							\$ 219.47

Tax							
Sales Tax							
999	Tax			\$ 740.00		\$ -	\$ 740.00
Total Sales Tax:							\$ 740.00



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Statement of Fees	
Invoice Number:	123456
Invoice Date	March 26, 2004
Period for Services:	For the month March 2004

The Depository Trust Company

Fee ID	Fee Name	Units	Rate Per Unit	Total Fee	Discount Rate	Discount Amount	Net Fee
							Total Tax: \$ 740.00

Statement Summary

Total DTC Net Amount Due: \$ **29,044,775.59**

Payment instructions

The net amount due is \$ **29,044,775.59**

Comments

Your depository Trust Company rebate will be paid on April 22, 2004.

Additional Information

To view the DTC Fee Schedule, please visit www.dtc.org (https://www.dtc.org).
 For tax details related to this invoice, please visit [EXACT](https://www.exact.org) (https://www.exact.org).
 A copy of this and previous invoices is also available on [SMART/Search](https://www.smartsearch.org) (https://www.smartsearch.org).

For invoice related inquires, please call 1.800.234.5678.

This invoice was generated using the Advantage billing system of Interactive Technologies.

The Depository Trust Company

IMPORTANT

B#: 6050

DATE: April 21, 2004

TO: All Participants

CATEGORY: Executive Notices

FROM: Product Management

ATTENTION: Managing Partner/Officer, Operations Manager

SUBJECT: Billing Modernization

The Depository Trust Company (DTC) will be revising its monthly participant invoices to improve readability and usability.

In response to requests and comments from our customers, DTC will be converting all DTC invoices to a new, commercial billing system later this year. DTC's fees will not be changed and the amount of your invoice will be unaffected, however you can expect the following changes:

- A new invoice format that's designed to be easily read and understood.
- Invoice delivery by e-mail that's easier to view and print.
- An on-line archive of current and historical invoices that you can browse or download.
- Spreadsheet version of monthly invoice available on-line.
- Each line item on the invoice cross-referenced to the DTC Fee Schedule

As a first step towards deploying the revised invoice, DTC is announcing today the availability of a User's Guide to the DTC Fee Schedule. The User's Guide is available on-line at <http://www.dtc.org/dtctpublic/pdf/rulesandfees/dtcfeeguide.pdf> - it has been rewritten for readability and reorganized

for easier reference. The User's Guide introduces the concept of Fee Numbers. These numbers will also appear in the invoices to be produced by the new Billing system.

The new DTC invoices will be delivered to the e-mail addresses that we have on file for delivering the e-mail copies of your current DTC invoice. Please verify that our current invoice e-mails are reaching the appropriate people within your firm, or contact your relationship manager in order to update your e-mail address or sign up for invoice e-mail. With the deployment of the new Billing system, we will discontinue delivery of printed, paper copies of the DTC invoice. If you need a copy on paper, please print the copy you download or receive by e-mail. If no one from your company has signed up for email delivery of DTC invoices, we will continue to send you a paper copy, however we will anticipate a monthly charge for delivery of printed invoices starting in 2005.

In July 2004, DTC will issue another Important Notice with specific details on the impact of this change including the schedule for deployment. Please direct comments or questions about the Users Guide or any aspect of DTC's Billing Modernization program to Dwight Arthur, Product Manager for DTC Billing, at 212-855-1087 or Dwight.Arthur@dtcc.com or contact your Relationship Manager.