

The Depository Trust Company

IMPORTANT

B#: 9908-06

DATE: July 07, 2006

TO: All Participants

CATEGORY: Executive Notices

FROM: John Bautz

ATTENTION: Managing Partner/Officer/Operations Manager

SUBJECT: SMART/Messages Ticket Printing Availability

Ticket Reduction

As announced in the February 28, 2006, Important Notice B9169-06 – PBS 2.0 Availability, PTS Sunset Rescinded, customers should anticipate that DTC will move to phased reductions in 3270 printer support in 2007 in favor of SMART/Messages and other alternate solutions. Expanding the use of SMART/Messages is DTC's response to provide a better way to manage PTS tickets without relying on obsolete printers and IBM SNA connectivity. SMART/Messages will allow DTC customers to view and print only those tickets necessary to complete their day-to-day processes. For deposit tickets, DTC is analyzing various replacement solutions for SNA delivery of bar coded tickets without interrupting existing business processes. In addition, newer DTC systems will be developed to deliver information without the need of printing a physical ticket.

SMART/Messages Availability

DTC's new SMART/Messages service will provide users the ability to view and print selected messages involving events or customer requests. It facilitates the secure delivery of messages between DTC and our customers, including messages such as deliver and payment orders, and, it provides all of the information and functionality currently available via the RPNA function on DTC's Participant Terminal System (PTS).

In addition, DTC is working with a participant focus group to obtain feedback on SMART/Messages application features and functionality. This feedback will define future versions of the application in a

continuous improvement process that will allow us to meet the changing needs of our customers and ensure SMART/Messages is a viable alternative to existing PTS ticket printing solutions.

SMART/Messages Access

Customers wishing to access SMART/Messages should have their Access Coordinator forward their requests to RMsupport@dtcc.com. These requests should include the RACF ID (PTS/PBS ID) of the customer and a request to add the SMART/Messages entitlement to their user profile. In addition, users will need to have PBS access in order to begin using SMART/Messages.

For more information please see the DTCC 2006 Development Agenda at <http://www.dtcc.com/CustomerFocus/index.htm>, or contact your Relationship Manager or the undersigned

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