

The Depository Trust Company  
**IMPORTANT**  
OFAC DEPOSIT CERTIFICATION TESTING

**B#:** 0446-06  
**DATE:** October 24, 2006  
**TO:** All Participants  
**CATEGORY:** Operations  
**FROM:** Joe Clemente  
**ATTENTION:** Officers/Cashiers/Operations Management  
**SUBJECT:** OFAC Deposit Certification Testing  
For the CF2DEP File

As previously announced in Important Notice B#9328-06, DTC has begun testing with participants the changes made to the CF2DEP File to accommodate OFAC certification. A new OFAC certification field has been added to the file layout in position 216 of the deposit record (record type 10). Participants will be required to place a "Y" in this field to certify that they have screened the registration(s) on each certificate in the deposit against the OFAC file and that there were no valid matches. A message "OFAC Certified" will print on each deposit ticket coded with a "Y" in the OFAC certification field.

Participants should send their test files to DTCC's UTOR Test Region ("U" region). Those participants who do not currently have access to the "U" test region are urged to contact the Participant Interface Planning hot line at (212) 855-8989. The quantity of deposits tested is up to your discretion.

Questions regarding testing the change made to the CF2DEP File to accommodate OFAC certification should be addressed to Joe Clemente, Securities Processing Product Manager, at (212) 855-2425 / [jpclemente@dtcc.com](mailto:jpclemente@dtcc.com).