

The Depository Trust Company

# IMPORTANT

## CERTIFICATE DESTRUCTION

**B#:** 0578-06

**DATE:** November 17, 2006

**TO:** All Participants

**CATEGORY:** Operations

**FROM:** Joe Clemente

**ATTENTION:** Officers/Cashiers/Operations Management

**SUBJECT:** Destruction of Non-Transferable  
Securities Certificates  
28th Cycle

On January 22, 2003, via Important Notice B#3834, DTC announced its plans to implement a new service to allow the depository to destroy certain certificates representing positions in securities for which transfer agent services are no longer available, called "Non-Transferable Securities Certificates." The Destruction of Non-Transferable Securities Certificates Program was introduced to address the costs and risk relating to the increasing percentage of non-transferable physical certificates in the depository's vault, which is now more than 34% of the depository's overall physical inventory.

The depository submitted to the Securities and Exchange Commission Filing No. SR-DTC-2003-09; SEC Filing for Program Regarding Destruction of Non-Transferable Securities Certificates, in which DTC sought the Commission's approval to proceed with the destruction program. The filing was published in the Federal Register in January 2004; it subsequently received generally widespread industry support. The SEC approved the filing on June 28, 2004, and DTC instituted the certificate destruction process.

Attached to this notice is a listing of securities slated for destruction in three months. The certificates representing those securities will be destroyed on or after February 1, 2006. Participants are urged to review this list. Should you have any knowledge or belief that any of the securities should not be destroyed, please contact DTC Operations Manager Richard Merckling at either (212) 855-8362 or via email at [rmerckling@dtcc.com](mailto:rmerckling@dtcc.com).

DESTRUCTION OF NON-TRANSFERABLE SECURITIES  
ISSUES FULLY PREMED REPORT

CUSIP NUMBER	CONTRA CUSIP	CUSIP DESCRIPTION	SHARE QUANTITY
002280204		AVC CORP	20.00000
002344109		AV ELECTRONICS	8,125.00000
004266102		ACADIA URANIUM	20,925.00000
046216107		ASSURED INVS LF	8.00000
250550100		DESIGN A PHONE INC	10,450.00000
253854103		DIGITAL INFO DEVICES	40,404.00000
28382N108		EL PENREY OIL&MINES	500.00000
289034100		ELLISON RANCHING CO	61.00000
307052100		FAMILY RESORTS INC	200.00000
317707107		FINANSCORP	33,170.00000
375176104		GILBERN IND INC	14,540.00000
38980P108		GRAYSON ROBINSON	2.00000
449672104		IMC INTL INC	6,660.00000
456434109		INDUSTRIAL VINYLINC	44,340.00000
456496108		INDUSTRIES INTL INC	8,250.00000
456754100		INFORMATION SYS CORP	4,000.00000
477088108		JET AIR FREIGHT *-	27,275.00000
477142103		JETA PWR INC	6,205.00000
479214108		JONSON STPHN SHINKLE	5,982.00000
486602204		KAYMARQ CONS CORP	1,350.00000
521089102		LE BATEAU INC	12,870.00000
58457L117		WTS MEDICAL HOME	481,000.00000
601098106		MILLKIRK CHIBOUGAMAU	4,800.00000
629521105		NABIS FINE ARTS +	7,200.00000
716760103		PETRO/WEST RES LTD.	49,275.00000
756059101		REAL SEC CORP	1,000.00000
881456107		TERREX MINING	100.00000
989344106		ZENITH AMERN LD&DEV	50.00000
		TOTAL PRIMARY CUSIPS FULLY PREMED:	28