

IMPORTANT NOTICE

The Depository Trust Company



#: 4207-08
Date: November 12, 2008
To: All Participants
Category: Operations
From: Joe Clemente
Attention: Operations Managers/Cashiers/Officers
Subject: Printing of DAMP Deposit Tickets

DTC's current process for printing DAMP deposit tickets is dependent on IBM's System Network Architecture (SNA) technology. As IBM has announced that it will no longer support SNA, many participants have been pressing DTC to quickly replace those network connections that are dependent on SNA technology.

On June 5, 2007, in Important Notice B#1610-07, DTC outlined a proposal to replace the current process of printing DAMP deposit tickets with a PDF-based solution. That outline called for the creation of the deposit tickets via DTC's Participant Browser System (PBS) in PDF format and making them available in SMART/Search during several intervals throughout the day. Participants provided feedback on the proposal and noted the inconvenience of switching between PBS and SMART/Search, as well as the timing of the creation of the reports.

While the PDF format has been retained, DTC has redesigned the DAMP deposit ticket print process to have minimal effect on its participants. This plan involves accessing the DAMP deposit ticket print function on PBS and request to print the tickets. A PDF document containing the deposit tickets will be sent directly to the requesting workstation. Participants can then print any or all of the enclosed DAMP deposit tickets. Additionally, the PDF document can be saved and stored for however long the participant requires.

Proposal Benefits

1. Participants can print the deposit tickets directly from PBS. There is no need to access SMART/Search.
2. No change in the print functionality. Request "Print Tickets" and the tickets are sent in a pdf document to the requesting workstation.
3. The DAMP deposit tickets will be in a pdf document that can be saved and stored locally.
4. Eliminates the need to purchase and use "peel off" bar code labels.

Non-Confidential

DTCC is now offering enhanced access to all important notices via a new, Web-based subscription service. The new notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

To remove your name from the former system of email notifications, send a message to unsubscribe@dtcc.com.

Requirements

Participants wishing to eliminate their SNA gateways and print their DAMP deposit tickets via PBS will need the following:

1. Access to DTC's Participant Browser System (PBS)
2. Access to the DAMP function
3. Adobe Acrobat Reader
4. A printer capable of printing bar codes. Any HP LaserJet compatible / PCL printer will do

The DAMP Deposit Ticket Print Menu will look like this:

PBS - Securities Processing Services DTCC

Home Message Center Change Password Help Clear

Deposit Automation Management - Print Menu

10 / 14 / 08 - 15 : 45 : 35
Logged on as : D0000070-07

Participant Information

Participant:	2199	Name:	Test Participant
--------------	------	-------	------------------

Inquiry Menu * = Required

Option:	Print Tickets *	<=== Required
Deposit Date:	072808 * (MMDDYY)	<=== Required
CUSIP:		<=== Optional
Starting Deposit ID:		<=== Optional
Ending Deposit ID:		<=== Optional

Create PDF Submit

Update

Services Menu Function Menu

Button inserted into this already existing page

DTCC will implement the new PBS DAMP deposit ticket print capability on January 23, 2009. The current method of printing DAMP deposits tickets on PTS using the SNA gateway will remain in effect for a few months, to give participants sufficient time to convert to PBS.

Questions regarding this notice can be directed to Joe Clemente at (212) 855-2425 / jpclemente@dtcc.com.

