

# THE DEPOSITORY TRUST COMPANY

## IMPORTANT

**#:** 0424

**DATE:** February 20, 1997

**TO:** All Participants

**FROM:** Sheryl Kort, Associate Director, Product Development

**ATTENTION:** Managing Partner/Officer, Cashier, Operations Manager

**SUBJECT:** Enhancement to the Night COD Bearer and Night COD functions

Effective March 6, the Night COD Bearer (NCOB) and Night COD (NCOD) Participant Terminal System (PTS) functions will be enhanced by including two new data entry fields, "Operator Name" and "Phone Number". Participants will be required to enter this information before processing NCOB/NCOD transactions over PTS. This will allow DTC to contact the individual making the COD request in the event that DTC cannot honor it. If this occurs, DTC will contact the Participant to discuss alternatives. The new fields will be labeled as follows:

1. The first field is labeled "OPR-NAME." The user must input a minimum of 6 characters, and a maximum of 15 characters. This field should contain the last name of the person entering the NCOB/NCOD data.
2. The second field is labeled "PHONE#." This field requires the area code and the 7-digit telephone number of the contact person at the Participant site.

The information entered will be printed on the confirmation and the Remote Output Reply (ROR) tickets. Samples of the new screens and tickets are attached.

Questions may be directed to your Participant Services representative.

QT82/NCOB

NIGHT COD FOR MUNI BEARER BONDS

02/03/97

00002199-33

17:52:35

SIGNON PT#: 2199      PTS TR ACC

OPR-NAME: CARY GRANT      PHONE#: (212) 111 - 1111

FUNCTION: A (A,C OR D)  
CONTROL NO: \_\_\_\_\_

CUSIP-NO      QUANTITY      TAX-CD

1	011830KU9	70000
2	011830KU9	99000
3		
4		
5		
6		
7		
8		
9		
10		
11		
12		
13		
14		

THE DUP KEY MAY BE USED ON ALL THE ABOVE FIELDS

QT82/NCOD

COD DATA ENTRY FOR NIGHT PROCESSING

02/03/97

00002199-33

17:52:35

SIGNON PT#: 2199      PTS TR ACC

OPR-NAME: CLARK GABLE    PHONE#: (212) 222 - 3333

FUNCTION: A (A,C OR D)

CONTROL NO: \_\_\_\_\_

CUSIP-NO    QUANTITY    TAX-CD

1    596578EA8    596

2

3

4

5

6

7

8

9

10

11

12

13

14

THE DUP KEY MAY BE USED ON ALL THE ABOVE FIELDS

EXHIBIT 3 - NCOB PTS Confirmation Ticket

O 0000219900-000001 NCOB - DATA COLLECTION 02/04/97 14:21:40 00002199  
CONTROL-NO:00000003-196

PTS ID: 00002199-33 (PTS TR ACC) TERM:X\$KK

OPR-NAME: CARY GRANT TELEPHONE: (212) 111 - 1111

TRANSACTIONS BELOW HAVE BEEN ACCEPTED FOR NIGHT COLLECTION

	CUSIP	QTY	TAX-CD	CUSIP DESCRIPTION	INDICATOR
01)	011830KU9	70000		AKRM6.375JD07=U=BR ¢	SDFS
02)	011830KU9	99000		AKRM6.375JD07=U=BR ¢	SDFS

EXHIBIT4 - NCOD PTS Confirmation Ticket

O 0000219900-000004 NCOD - DATA COLLECTION 02/04/97 14:39:42 00002199  
CONTROL-NO:00000002-197

PTS ID: 00002199-33 (PTS TR ACC) TERM:X\$KK

OPR NAME: CLARK GABLE TELEPHONE: (212) 222 - 3333

TRANSACTIONS BELOW HAVE BEEN ACCEPTED FOR NIGHT COLLECTION

	CUSIP	QTY	TAX-CD	CUSIP DESCRIPTION	INDICATOR
01)	596578EA8	596		NJR5WR5.70JJ0117BR ¢	SDFS

EXHIBIT5 - NCOB REMOTE OUTPUT REPLY(ROR) Ticket

SEQ NUMBER	JULIAN DATE	CUSIP NUMBER	NAME	PARTICI-PANT NO...	QUANTITY		REMARKS
1	035	011830KU9	Cusip Description...	2199	70000	\$704034	BEARER MUN
* SDFS *                      PTS TR ACC                      * GARDEN CITY *  OPER-NAME: CARY GRANT TELEPHONE#: (212) 111 - 1111							

SEQ NUMBER	JULIAN DATE	CUSIP NUMBER	NAME	PARTICI-PANT NO...	QUANTITY		REMARKS
2	035	011830KU9	Cusip Description...	2199	99000	\$900007	BEARER MUN
* SDFS *                      PTS TR ACC                      * GARDEN CITY *  OPER-NAME: CARY GRANT TELEPHONE#: (212) 111 - 1111							

EXHIBIT 6 - NCOD REMOTE OUTPUT REPLY(ROR) Ticket

SEQ NUMBER	JULIAN DATE	CUSIP NUMBER	NAME	PARTICI-PANT NO...	QUANTITY		REMARKS
43	035	596578EA8	Cusip Description...	2199	596	\$583	REG-MUNI-S
* SDFS *                      PTS TR ACC                      * GARDEN CITY *  OPER-NAME: CLARK GABLE TELEPHONE#: (212) 222 - 3333							