

THE DEPOSITORY TRUST COMPANY

IMPORTANT

#: B 0511-97

DATE: March 14, 1997

TO: All Participants

FROM: Sheryl Kort, Associate Director, Product Development

ATTENTION: Managing Partner/Officer; Cashier; Operations, Data Processing and Underwriting Managers

SUBJECT: Rescheduling of the IPO Tracking System Agent Internal Account (AIA) Scrubbing Enhancement

The implementation of the AIA scrubbing enhancement has been *rescheduled* for Thursday, *March 20th*.

Customer-level detail adds and adjustments will have a cut-off time of 7:30 P.M. for the evening of Wednesday, *March 19th*.

The scrubbed version of the AIA number will be stored in the Initial Public Offering (IPO) Customer Detail database and will now become the key to the database to identify unique customers. All internal processing by the IPO Tracking system will occur against the scrubbed version of the AIA number. The original AIA number will be stored in the IPO Transaction database.

Additionally, the original AIA number, *as received on input*, will be returned back to the Participant on output copies of Deliver Orders (DOs), ID Confirms, IPO Customer Allocations, IPO Account Transfers, IPO Releases, and IPO Customer-Level Adjustments.

All existing data that is currently on the database will be scrubbed. If this results in a number scrubbing to spaces, the word "**conversion**" will be inserted as the AIA number.

Questions may be directed to Catherine Brown, Product Development, at (212) 709-1687 or DTC's Settlement Department at (212) 558-5867.

