

# THE DEPOSITORY TRUST COMPANY

# IMPORTANT

**B#:** 1272

**DATE:** June 27, 1997

**TO:** All Participants

**FROM:** Valentine Stevens, Group Director,  
Research/Product Development

**ATTENTION:** Managing Partner/Officer; Cashier, Operations; Syndicate and  
Data Processing and Underwriting Managers

**SUBJECT:** Deliveries of IPO Tracked Issues to Banks

As a clarification of existing IPO editing requirements for banks, all deliveries of IPO tracked issues to banks must include an Agent Internal Account number (AIA #).

This includes deliveries using Reason Codes:

10-29; 280-290	Stock Loans and Returns
30-37	Secondary Market Deliveries
40	Customer Account Transfers
50-57	Initial Distribution

The AIA number is also required when performing Customer Account Transfers via IPOU, option 4.

Questions regarding this notice should be directed to Catherine Brown at (212) 709-1687 or Melissa Rosenberg at (212) 709-1105.